RAILINC

# Introduction to Embargo Webinar

Presenter: Emily Craft

November 2023

# General Announcements

- Webinar Reminders
  - Attendees will be muted to reduce background noise.
  - Submit any questions you have via the Q&A box.
  - A copy of these slides will be sent out tomorrow.
  - The presentation is expected to last 30 minutes.

- Please complete the short survey at the end of presentation.

# Agenda

- Embargo & OPSL Note Definitions

- Application Access and Roles

- Profile Dashboard

- Subscriptions

- Permits

- Industry Standards & TD-1 Circular

- Issuing an Embargo

- 824, Hold Notifications, and All Clear Notifications

- Questions

# Embargo & OPSL Notes Definitions

# Embargo

- An Embargo is a temporary method of controlling or restricting traffic movements related to congestion, physical impairments (such as track or bridge damage) or dangerous weather.

- The AAR Embargo/OPSL Notes and Permit System allows railroads to issue, amend and cancel Embargoes and OPSL Notes in an electronic format.

- It allows a user with assigned permissions to grant a permit(s) for specific shipments to proceed to an embargoed location.

- The database also allows a user to search Embargoes by their number or attributes (i.e., location, date, etc.).

# OPSL Note

- An OPSL Note is a permanent method of identifying stations that require long-term controlled traffic movement (operation issues, clearance, weight, capacity, damage, etc.).

- These are issued for problems that will not be resolved within one year.

- OPSL Note numbers are *not* generated by the system and must be manually assigned by Railinc.

# Level 4 Embargoes

- A Level 4 Embargo can ONLY be approved by Railinc after they have been in direct phone contact with the AAR Operations Center.

- The 'Cause/Detail' for a Level 4 Embargo is pre-filled with the following verbiage: "In consultation with the Department of Homeland Security, an emergency exists that could cause interference with railroad operations."

- Typically, a Level 4 Embargo affects traffic movement for TIH/PIH commodities (toxic inhalation/poison inhalation hazardous commodities). Only Railinc will issue a Level 4 Embargo at the direction of the AAR. All Level 4 Embargoes are issued by the AAR.

# Access and Roles

# Roles Within the Embargo Application

## External Public Search Page

- embargo.railinc.com
- Search and view all Embargoes and OPSL Notes
- View Embargo and permit officers
- View user guide, 824 error codes, and frequently asked questions (FAQ)

## Party to The Waybill

- Search and view all Embargoes and OPSL Notes
- Request, view and edit own permit requests
- View Embargo and permit officers
- Subscribe to Embargo and tariff note notifications

# Roles Within the Embargo Application

**Embargo/OPSL Note Officers**

- Request and maintain non-level 4 Embargoes on behalf of his/her road

- Issue, amend, cancel, reissue, clone, export and print Embargo/OPSL Notes

- View audit log and journal for Embargo/OPSL Notes

**Embargo/OPSL Note Permit Officers**

- Issue, cancel, and approve or reject Embargo/OPSL Notes permits

- View audit log and journal for Embargo/OPSL Notes permits

# Requesting Access to the Embargo Application

# Requesting Access to the Embargo Application

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☐ Embargo Officer - Non Level 4 ( MARK required )

Embargo officer who can issue an Embargo on behalf of his/her road.

☐ Embargo Party to the Waybill

Waybill Shipper, consignee or care of party who need to request permits.

☐ Embargo Permit Officer-NonLvl4 ( MARK required )

Permit Officer of a road who can issue permits on behalf of his/her road.

☐ OPSL Note Officer ( MARK required )

Same as the role Road Embargo Issuer. Issue (request for) OPSL note on behalf of his/her road; Maintain a list of contact persons, who would be notified when a OPSL note is issued.

☐ OPSL Note Permit Officer ( MARK required )

Same as Railroad Permit Officer role. Accept/reject/cancel permit requests for authorized road; Cancel permits for authorized road; Create/import/export Non-Level 4 Permits for authorized road; View Level 4/Non-Level 4 permits for authorized road.

# Profile Dashboard

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# Creating Profiles

- Users with Embargo Party to the Waybill role have access to the Dashboard menu item.
- From the Embargo Profile Dashboard, select the Create Profile button. The Create Embargo Profile page will be displayed.

# Creating Profiles, cont.

## Create Embargo Profile

Embargo Profile Name *

Exclude Target All results for criteria:
- ☐ Commodity
- ☐ Waybill Parties
- ☐ Umler Equipment
- ☐ Geography

☐ Mark as Default Profile

Commodity:

Customer Name/Waybill Party:

UMLER Equipment Type Code:  ● All Equipment Type Codes    ○ Select Equipment Type Codes    ○ Equipment Type Groups

Geography:    ● All Stations and States    ○ Station    ○ State/Province    ○ Interchange

Cancel    Save

# Creating Profiles, cont.

- Users can create multiple different dashboards. There is a dropdown menu to toggle between the dashboards.

# Editing/Deleting Profiles

Embargoes that are highlighted in yellow are AAR Secu... ...evel 4 Embargoes.

Embargo Profile
NS Tanks in Cary ▼     Edit/Delete Profile     Create Profile     Create Notification     Number of Embargo(es): 19     ☒ Clear Filters     Refresh     ⬇

Geography:     ⦿ All Stations and States     ◯ Station     ◯ State/Province     ◯ Interchange

Cancel     Delete     Save

# Embargo Subscriptions

# Creating a Subscription

Subscribers to the AAR Embargo and Permit System can select to receive email notifications based on a number of criteria if an Embargo and/or an OPSL Note is:

- Created
- Approved
- Cancelled
- Expired
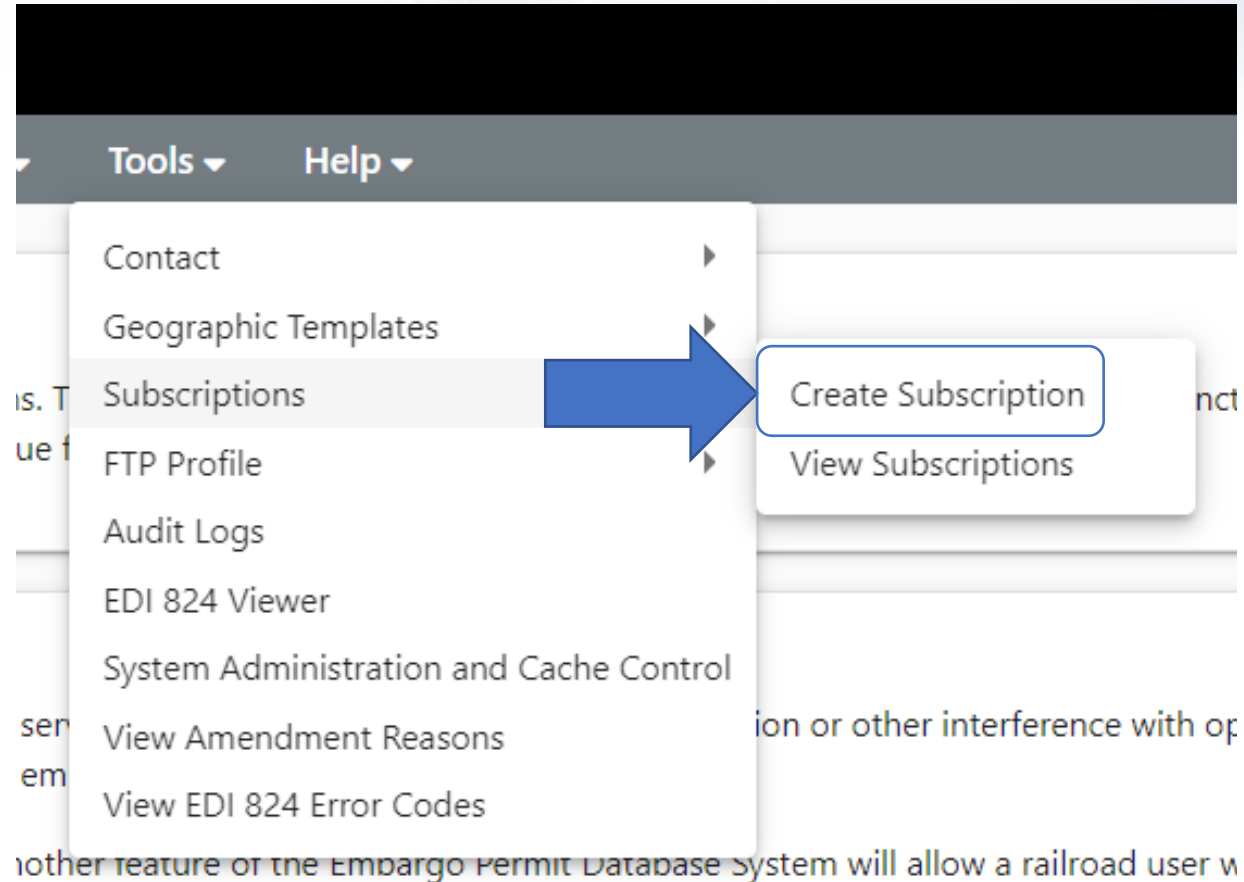
# Creating a Subscription, cont.



**Select Subscription Type for Create**

Subscription for:

○ another registered user _____

○ contacts/agencies associated with roadmark _____

● yourself

[Continue]

---

Description *
_____

Delivery Type: Email

To: _____

CC (Use comma to separate distinct email addresses):

Subscribe To: ● Embargo ○ OPSL Note ○ Both

Notify on All Conditions: ☐

Embargoes: ○ AAR Security Level 4 Only ● Non-AAR Security Level 4 Only ○ Both

Permits: ○ Permits Allowed Only ○ Permits Not Allowed Only ● Both

Events to Notify: ☐ Approved ☐ Cancelled ☐ Amended ☐ Expired ☐ All

Delivery Format: Formatted Text ▼

# Creating a Subscription, cont.

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- If limiting to select criteria, there are search tools you can use for assistance:

**Issuing Road**

( ) Subscribe for all roadmarks    ( ) Subscribe for Select roadmarks

**Commodity**

( ) Embargo All Commodities    ( ) Embargo Select Commodities

**Cause**

( ) Subscribe for all causes    ( ) Subscribe for Select causes

**Geography**

( ) Subscribe for All Locations    ( ) Subscribe for Select Locations

**Equipment**

( ) Subscribe for All Umler Equipment Types    ( ) Subscribe for Select Umler Equipment Types

Clearance Code:  - No Clearance Codes -  ▼    Total Weight on Rail:  - No Weight Restrictions -  ▼    - Select a Quantity -  ▼  lbs.

**Waybill Parties**

( ) Embargo on All Patrons    ( ) Embargo on Select Patrons

# Viewing and Editing Subscriptions

- Subscriptions are effective for one year. Subscribers will receive an email reminder one week prior to the subscription expiration date for a chance to renew it.

- When viewing an effective/active subscription, the subscription can be edited, renewed or cancelled.
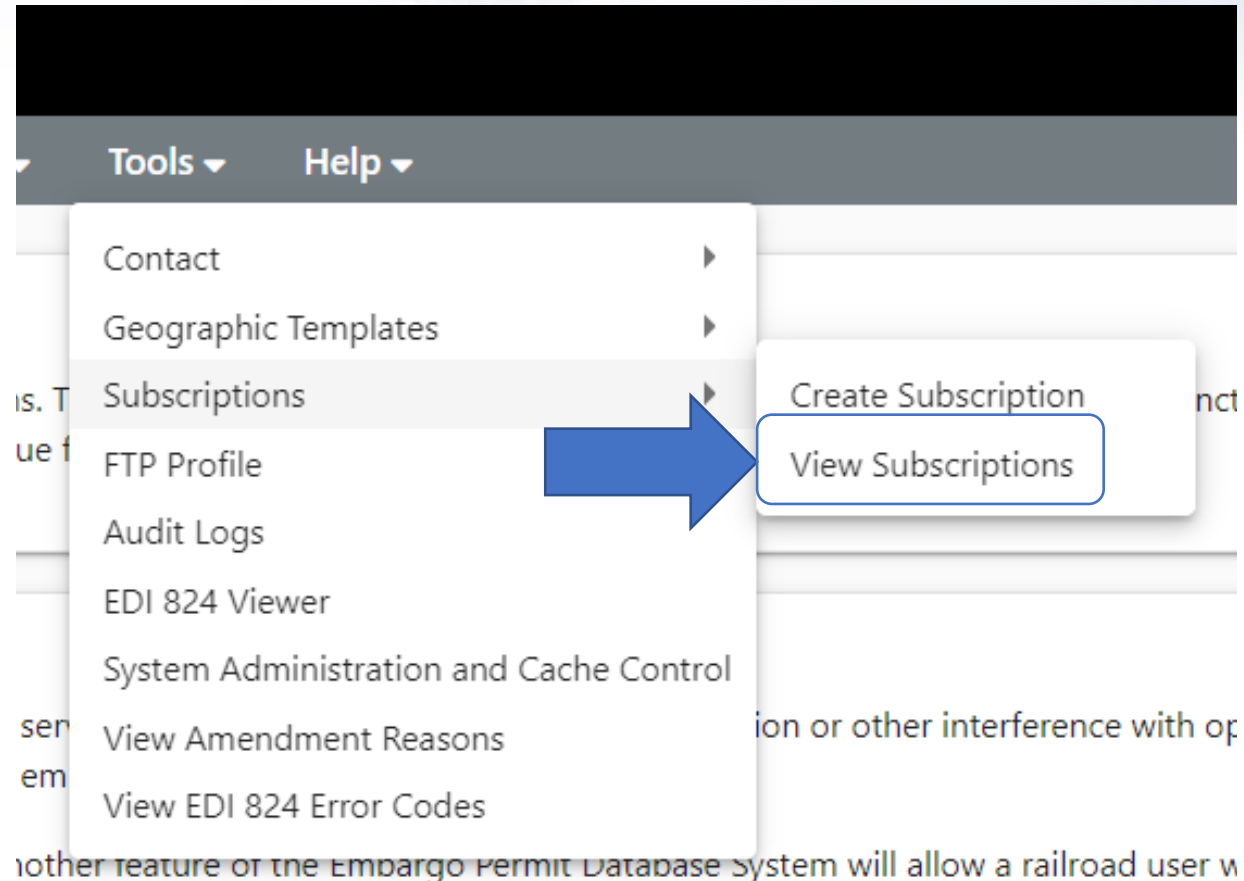
# Permits

# What is a Permit?

- Embargoes and OPSL Notes may contain a provision for a permit to provide a controlled movement of traffic to an embargoed destination.

# Requesting a Permit

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## Create Permit Request

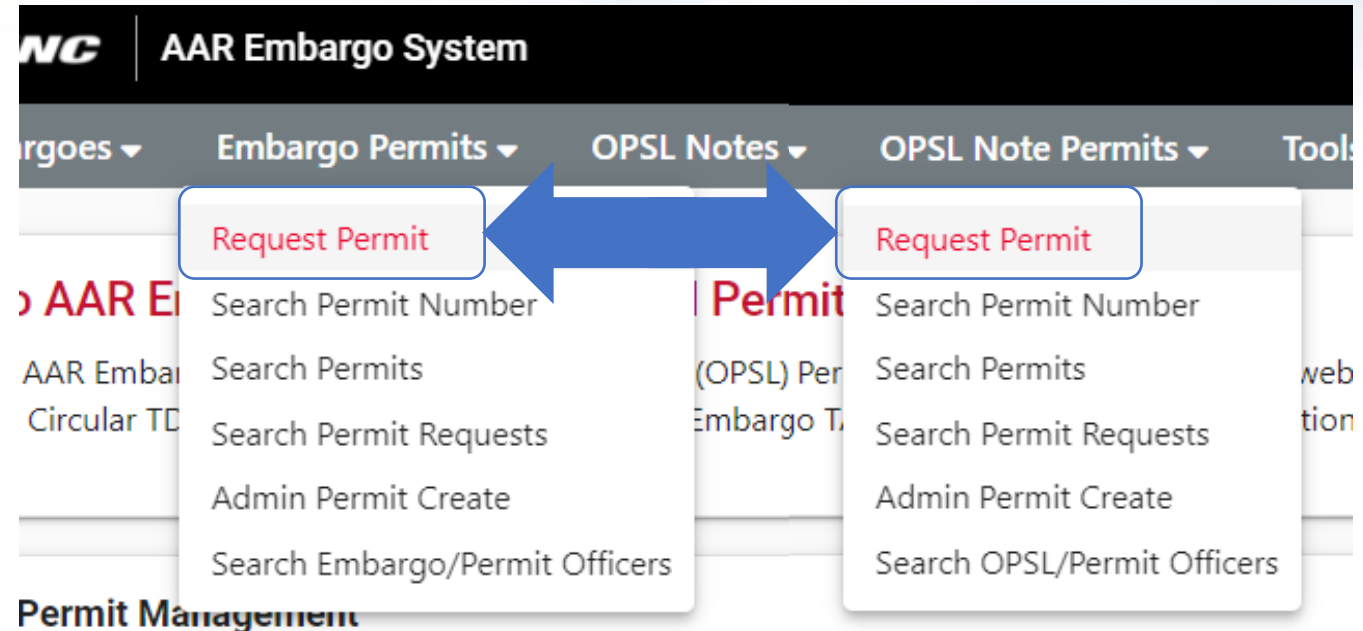| | |
|---|---|
| **Instructions:** | After submission of your request, for issues with permits/ obtaining permit numbers, please contact the permit officer(s) for the issuing road. To access a listing of railroad permit officers, please select Permits drop down and choose Search Officers option. |

Permit(s) for Embargo *

| | | |
|---|---|---|
| **Status:** | New | |
| | | **Requester ID:** BSAXK03 |
| **Requester Contact:** | ▮▮▮ | |
| | RAILINC CORPORATION | |
| | ▮▮▮ (Please ensure your email address is correct, if not, update it first in SSO and then re-try operation) | |

| Priority * | Number of Permits Needed * |
|---|---|
| Medium ▾ | 1 |

Reason *

| Permit Start Date | Permit End Date |
|---|---|
| 10-26-2020 📅 | 11-09-2020 📅 |

**In most cases, the Permit Start Date should be the same as the Waybill Date**

| Shipper CIF 🔍 | Consignee CIF 🔍 |
|---|---|

| **Origin FSAC** | **Destination FSAC** |
|---|---|
| Road Mark — FSAC 🔍 | Road Mark — FSAC 🔍 |

Free Form Commodity *

# Requesting Permits Approval

- Once you have requested a permit, it is up to the permit officer from the railroad who issued the Embargo to approve.

- You can search for the permit officer(s) for a road by utilizing the search tab.

- Permit officers will use the 'Search Permit' menu option to find and review the permit requests before approving/rejecting them.
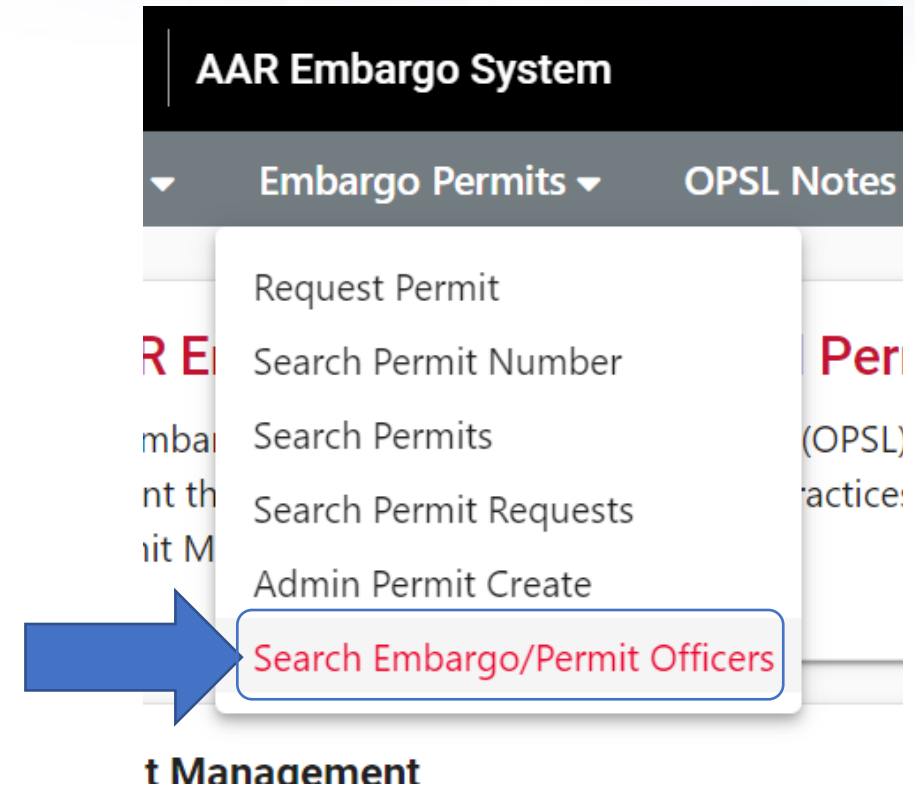
# Questions?

# Industry Standards & TD-1 Circular

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# Revised TD-1 Circular

## ASSOCIATION OF AMERICAN RAILROADS
### In Effect as of January 1, 2021
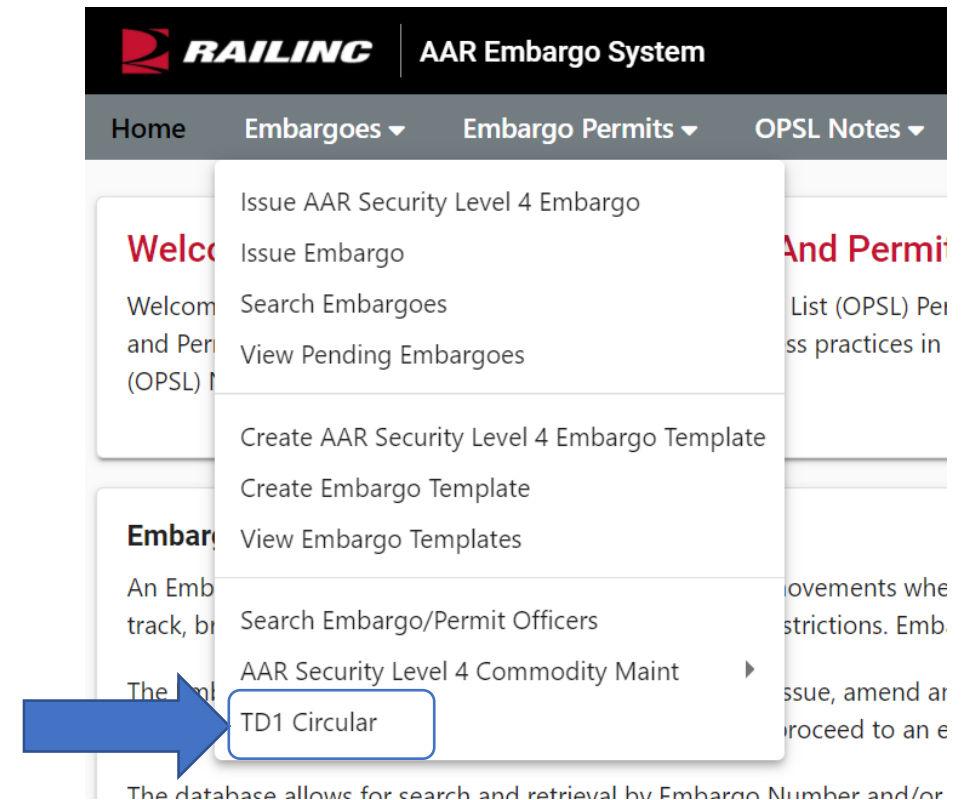
TO: Transportation Officers –

All Railroads The following instructions

shall govern the placing and handling of

Embargoes: [The AAR TD-1 Circular](#)

# Revised TD-1 Circular, cont.

An Embargo is a method of controlling traffic movements when, in the judgement of the serving railroad, an actual or threatened physical or operational impairment, of a temporary nature, warrants restrictions against such movements.

For the purpose of this Circular, "Traffic" is defined as loaded and revenue empty freight cars, trailers and/or containers. In the case of disasters the definition of "Traffic" can be expanded to include embargo of empty freight cars. Empty equipment may be embargoed only in the case of a disaster in which damage or destruction of railroad facilities such as bridge fires, accidents, or the imminent occurrence of such a Physical Impairment (e.g., approaching hurricane, rising floodwaters). This shall include carrier and privately owned or leased freight cars, trailers and/or containers.

# Revised TD-1 Circular, cont.

II. The TD-I Circular advises against issuing Embargoes for the following circumstances:

• As a permanent measure to control traffic.

• At the request of a consignee.

• Against acceptance of Traffic on specified days.

• Limiting the amount of Traffic to be accepted daily or periodically.

• As a commercial measure to control the routing of Traffic to or via any particular gateway, railroad or destination which is not related to the existence of the impairment.

# Revised TD-1 Circular, cont.

II. The TD-I Circular advises against issuing Embargoes for the following circumstances:

• De-market traffic.

• Restrict business growth.

• Enforce terms of a contract or interchange agreement between railroads.

• Against a consignor or consignee for failure to pay freight charges and/or demurrage.

• Against Traffic consigned to the United States Government, its authorized agents or officers, except when the Physical or Operational impairment prevents movement of such Traffic.
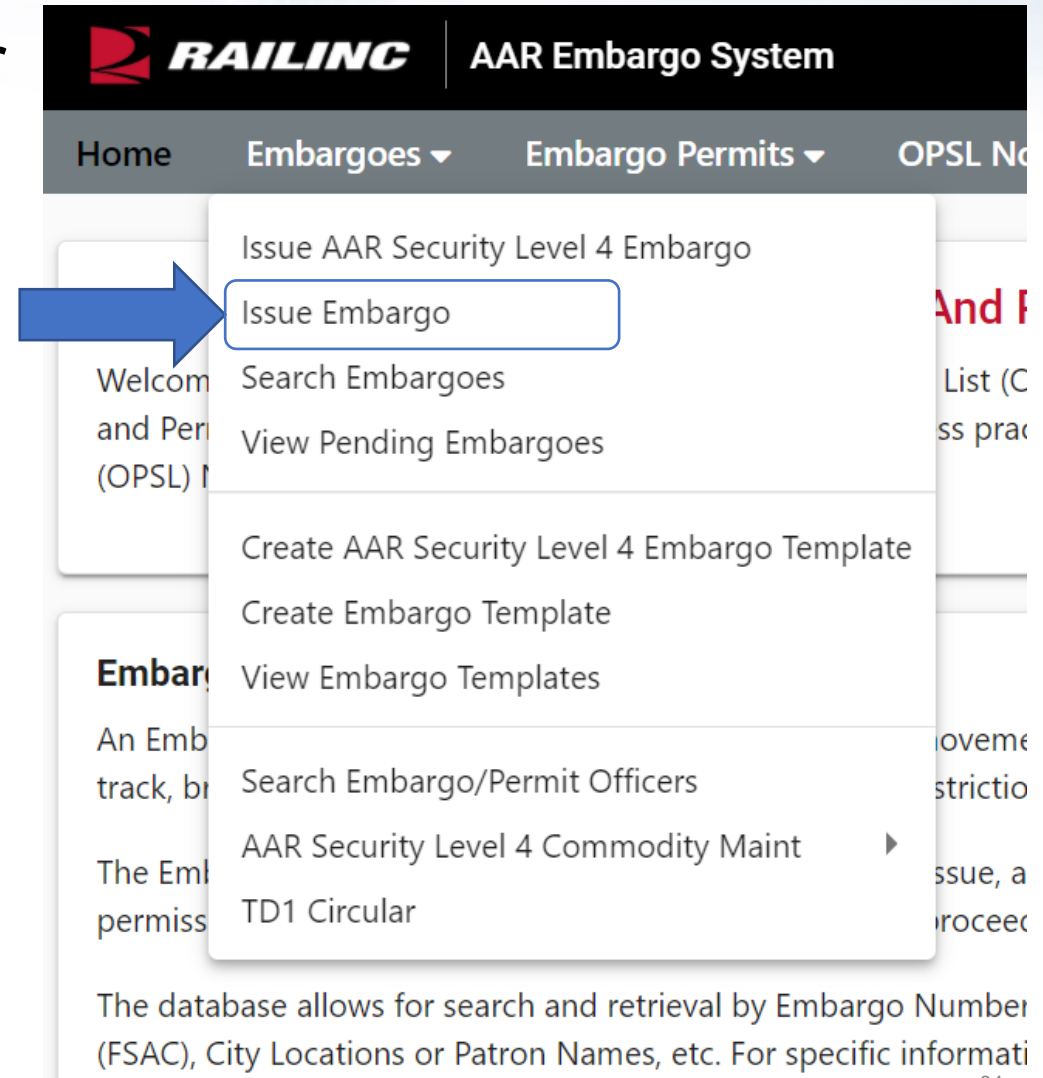
# Issuing an Embargo or OPSL Note

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# Issuing an Embargo or OPSL Note

- The available options for an Embargo or OPSL Note are nearly identical.

- Only an Embargo officer or OPSL Note officer can issue an Embargo or OSPL Note.

# Issuing an Embargo

## General Embargo Information

Embargo No:

Status: **New**

Effective Date: 10-30-2022

☐ Op Station Notice

Issuing Road *

☐ Effective Immediately

Request Date
10-27-2022

Expiration Date
10-27-2023

Allow Permits
- Choose Yes/No -

☑ Allow Admin Issued Permits

Bypass Local Waybills
- Choose Yes/No -

☐ Include Empty Revenue Cars

☐ Include All Empty Cars

(Only for causes of Weather Conditions, Derailment, Bridge Out of Service, Catastrophic Event, Spontaneous Labor Action, Civil Activism, or Congestion/Accumulation.)

Participating Roads

Notes to Participating Roads

☐ Min Car Allowed

☐ Max Car Allowed

# Issuing an Embargo, cont.



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# Issuing an Embargo, cont.

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**Geography**

- (To just embargo all traffic in and out of one station, enter station in **FROM box and Select Bi-Directional** checkbox)
- (When issuing an Embargo or OPSL Note and Target All Locations option is chosen then the interchange pairs in the template will be suppressed and not used.)

🔘 Include These Locations    ⚪ Target All Locations

**Origin**

FSAC ▼    ➕ 🗑️

**Destination**

☐ Bi-Directional    FSAC ▼    ➕ 🗑️

☐ Exclude These Locations

**Origin**

FSAC

**Destination**

☐ Bi-Directional    FSAC

🔘 Include These Interchanges    ⚪ Target All Interchanges

OR ▼

**Choose a Geography Template.**

FSRR
GREAT RIVER RAILROAD
Greenville Branch Ayer MA
Hurricane
Hurricane Gustav

🔳 View Details

✓ Apply

**[Create Geography Template]**

# Issuing an Embargo, cont.

**Equipment**

- ◯ Target Select UMLER Equipment Types
- ◯ Target All UMLER Equipment Types
- ● Target Select UMLER Equipment Type Groups
- ◯ Target Select UMLER Field/Status

## Include These Umler Equipment Type Groups

- ☐ A - Equipped Box Cars
- ☐ B - Unequipped Box Cars
- ☐ C - Covered Hoppers
- ☐ E - Equipped Gondolas

- ☐ F - Flats
- ☐ G - Unequipped Gondolas
- ☐ H - Unequipped Hoppers
- ☐ J - Gondola GT

- ☐ K - Equipped Hoppers
- ☐ L - Special Types
- ☐ P - Conventional Intermodal Flats
- ☐ Q - Lower Profile Intermodal Flats

- ☐ R - Refrigerator/RBL's
- ☐ S - Stack Intermodal Flats
- ☐ T - Tanks
- ☐ U - Intermodal Containers

- ☐ V - Automobile Multi-levels
- ☐ Z - Intermodal Trailers

## Equipment Characteristics

☐ Add Equipment Axle Count & Length Conditions to Restrict Movement

**Total Weight on Rail:**  - No Weight Restrictions - ▾    - Select a Quantity - ▾   lbs.

# Issuing an Embargo, cont.



**Waybill Parties**

◉ Target Select Waybill Parties   ○ Target All Waybill Parties

○ Include waybills with missing waybill parties (CIFs)   ◉ Exclude waybills with missing waybill parties (CIFs)

Include These Waybill Parties:

CIF 🔍        ➕   🗑️        ☐ Shipper   ☐ Consignee   ☐ Care of party   ☐ Ship From   ☐ Pick Up

[Save Draft]   [Issue Embargo]

# Issuing an Embargo, cont.

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## Embargo Details

### General Embargo Information

**Embargo No:** CALA003322

**Request Date:** 11-02-2022          **Expiration Date:** 11-02-2023          **Effective Immediately:** No

**Status:** Effective          **Issuing Road:** CALA - CAROLINA SOUTHERN RAILROAD COMPANY, THE

**Allow Permits:** No          **Allow Admin Issued Permits:** Yes          **Bypass Local Waybills:** No

**Effective Date:** 11-05-2022

**Include Empty Revenue Cars:** No          **Include All Empty Cars:** No          **Min Car Allowed:**          **Max Car Allowed:**

**Original Requester:** Emily Craft          **Email:** Emily.Craft@railinc.com          **Phone Number:** 1.8777245462

**Roads Invited to Participate:**

# 824 and
# Hold Notifications

# Hold Notifications

An Embargo hold email notification is an English version of the EDI 824 messages that are distributed by the Embargo System.

- With this notification, short line carriers that may be impacted by an Embargo at an origin location will be notified via email.

- To receive the email notification, each short line must have either a registered Embargo officer in the AAR Embargo/OPSL Note System, or a contact listed in Railinc's FindUs.Rail application for the Embargo Hold Notification category.

# EDI 824 and Hold Notifications

Example:

"You received the email as a notification because the (your road) has a station registered at the origin point for a shipment that is going to a location that is currently embargoed. The ==email is sent to any carriers that have a station registered at that origin point==, so that the carriers are aware to not originate any shipments to the destination as it is currently not allowing any traffic due to the Embargo. If you are not in possession of the car then no action is required of you. If you are then you would need to hold the car."

# EDI 824 Error Codes and Descriptions

- 824 Error Codes can only be accessed by Embargo officers and Railinc administrators.
- Error codes can now be found at the top of the EDI 824 Details page.

905002025GE: Permit already used
920001010GE: Origin station embargoed
922004040GE: Party to Waybill embargoed-Waybill requires permit

Message Id: ████████

EDI 824 Message:

```
#RREP    0000SWAYB002203151516██/220741516/RREP    13282203151516/
GS*WB*RREP*CN*20220315*1516*█████278*X*008010RAIL
ST*824*1515████
BGN*00*417*20220315*1516
OTI*TE*EQ*SCYX807625*****8431328*431328001**008010
REF*WY*351081
DT█████████315
LM*AR*RGUIDE
LQ*STF*905002025GE
RED*CN003618*RP
LQ*STF*█████████GE
RED█████████
LQ*STF*922004040GE
RED*█████████
SE*13*151516278
GE*1████████
$0001EOMæ
```

# 824 All Clear Notification

- The All Clear feature alerts you when an embargo has been cancelled or amended and no longer impacts a waybill which previously received an 824 Hold Notification.

900000000GA: All Clear Notification

Message Id: ███████

EDI 824 Message:

```
#RREP    0000SWAYB002203310813U███/220900813/RREP    95782203310813/
GS*WB*RREP███0220331*0813*310813604*X*008010RAIL
ST*824*310813604
BGN*00*417*20220331*0813
OTI*TA*EQ*GATX072402*****52817*528170001**008010
REF*███████
DTM*WAY*20220329
LM*AR*RGUIDE
LQ*STF*900000000GA
RED*U███████
SE*9*310813604
GE*1*310813604
$0001EOMæ
```

# Questions?

# *Railinc Keeps You Moving*

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