Introduction to Embargo Party to the Waybill Webinar

Presenter: Cindy Zhang

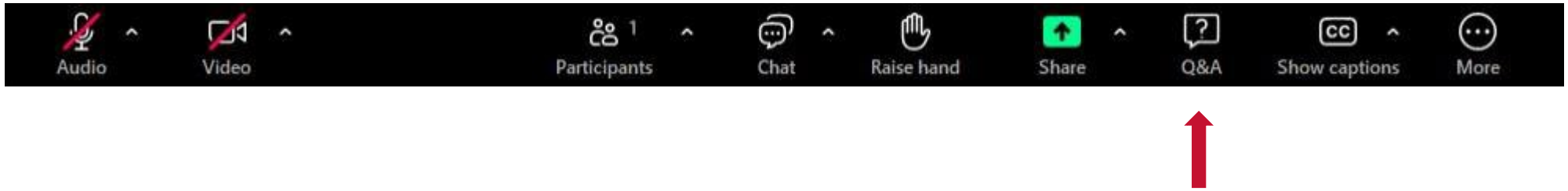
November 2026

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General Announcements

- Webinar Reminders
  - Attendees will be muted to reduce background noise.
  - Submit any questions you have via the Q&A box.
  - A copy of these slides will be sent out tomorrow.
  - The presentation is expected to last 45 minutes.

- Please complete the short survey at the end of the presentation.



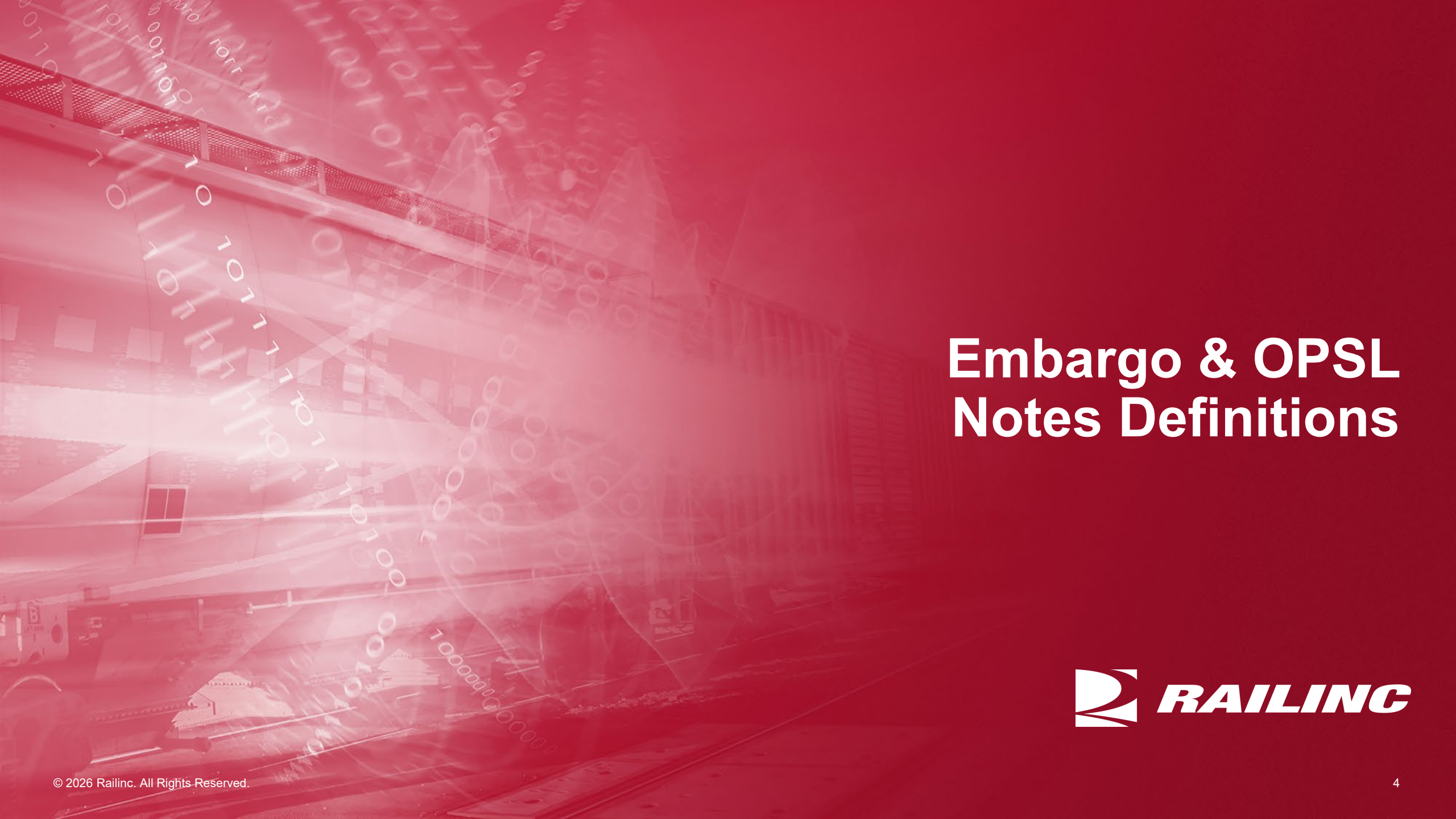
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Agenda

- Embargo & OPSL Note Definitions
- Application Access and Roles
- Industry Standards & TD-1 Circular
- Embargo – Search Embargo
- Permits – Search Permit, Permit Request
- Profile Dashboard
- Subscriptions
- Additional Resource “Help” Button
- Questions

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Embargo & OPSL Notes Definitions

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Embargo Overview

- An Embargo is a temporary method of controlling or restricting traffic movements related to congestion, physical impairments (such as track or bridge damage), or dangerous weather.
- The AAR Embargo/OPSL Notes and Permit System allows railroads to issue, amend, and cancel Embargoes and OPSL Notes in an electronic format.
- It allows a user with assigned permissions to grant a permit(s) for specific shipments to proceed to an embargoed location.
- The database also allows a user to search Embargoes by their number or attributes (i.e., location, date, and more).

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OPSL Note

- An Open & Prepaid Station List Note (OPSL Note) is a permanent method of identifying stations that require long-term controlled traffic movement (operation issues, clearance, weight, capacity, damage, etc.).

- These are issued for problems that will not be resolved within one year.

OPSL Note Format (10 characters):

- Railroad Mark
- 2-letter Year Code: AB equals the 2007 edition, AC equals the 2008 edition, and more
- 4-digit Note Number

Example:

BNSF + AC (2008) + 0001 = **BNSFAC0001**

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AAR Security Embargoes

- A Security Embargo can ONLY be approved by Railinc after they have been in direct phone contact with the AAR Operations Center.
- The ‘Cause/Detail’ for a Security Embargo is pre-filled with the following verbiage: “In consultation with the Department of Homeland Security, an emergency exists that could cause interference with railroad operations.”
- Typically, a Security Embargo affects traffic movement for TIH/PIH commodities (toxic inhalation/poison inhalation hazardous commodities). Only Railinc will issue a Security Embargo at the direction of the AAR. All Security Embargoes are issued by the AAR.

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Access and Roles

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Roles Within the Embargo Application

External Public Search Page

- embargo.railinc.com
- Search and view all Embargoes and OPSL Notes
- View Embargo and permit officers
- View user guide, 824 error codes, and frequently asked questions (FAQ)

Party to The Waybill

- Search and view all Embargoes and OPSL Notes
- Request, view, and edit own permit requests
- View Embargo and permit officers
- Subscribe to Embargo and tariff note notifications
- Create, Edit, and Delete a Dashboard

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Roles Within the Embargo Application

Embargo/OPSL Note Officers

- Issue, amend, cancel, reissue, clone, export, and print Embargo/OPSL Notes on behalf of his/her road
- View audit log and journal for Embargo/OPSL Notes
- View EDI 824 Message
- Create, Edit, View CIF Synonyms

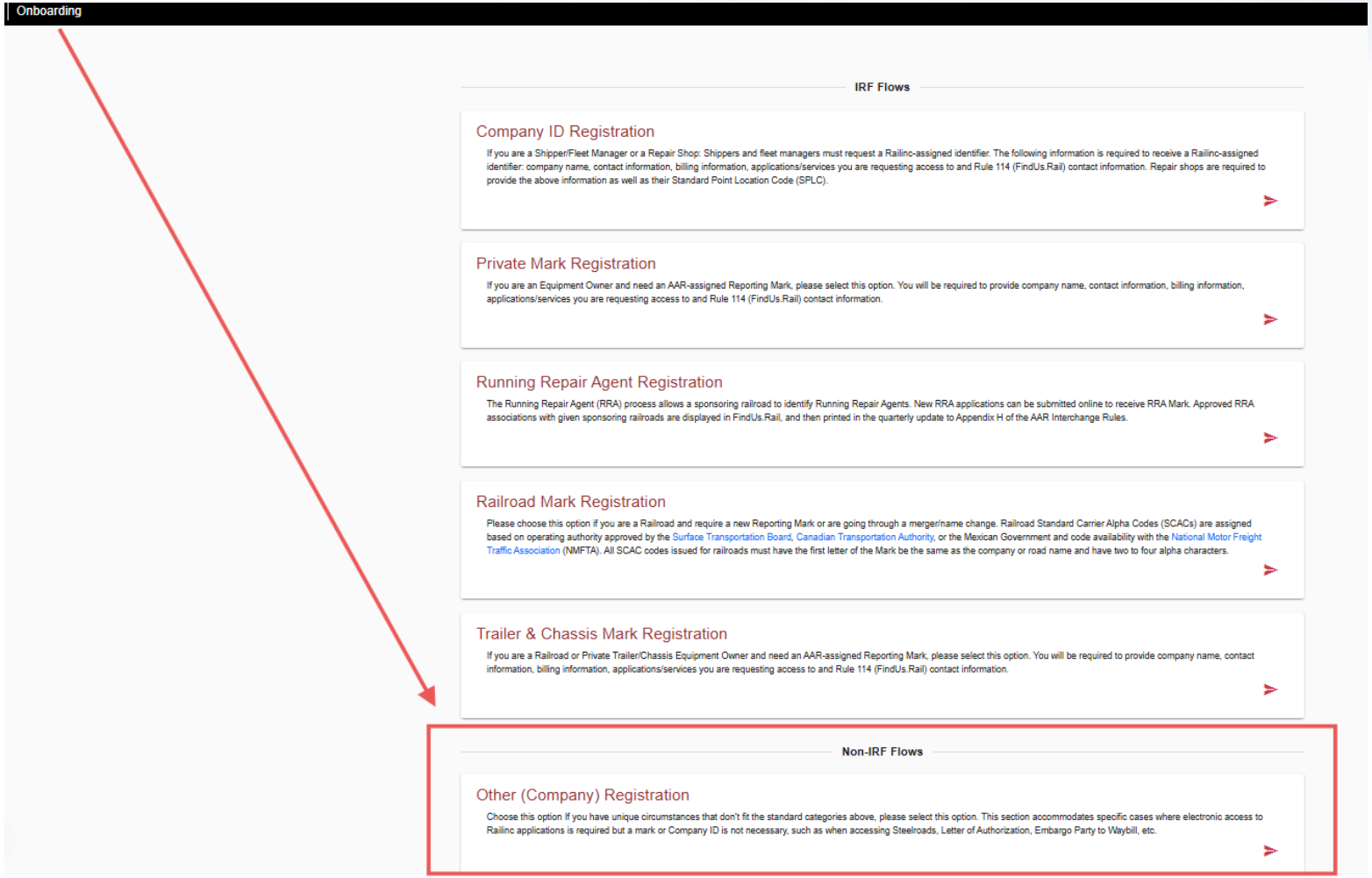
Embargo/OPSL Note Permit Officers

- Issue, cancel, and approve or reject Embargo/OPSL Notes permits
- View audit log and journal for Embargo/OPSL Notes permits

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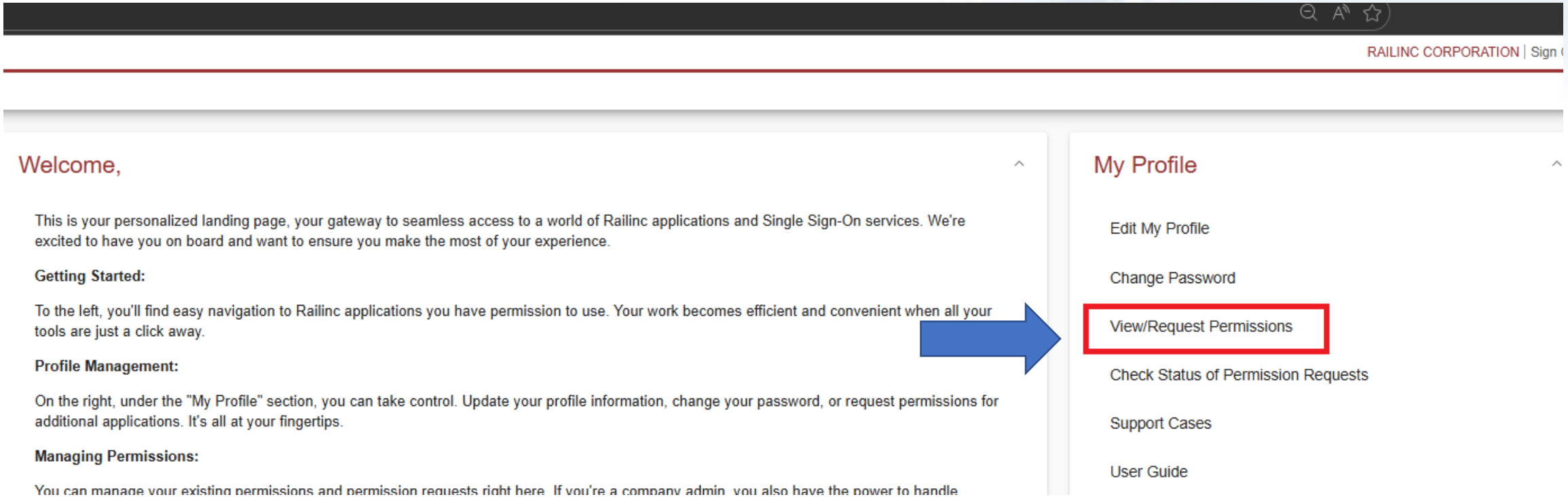
Onboarding Your Company

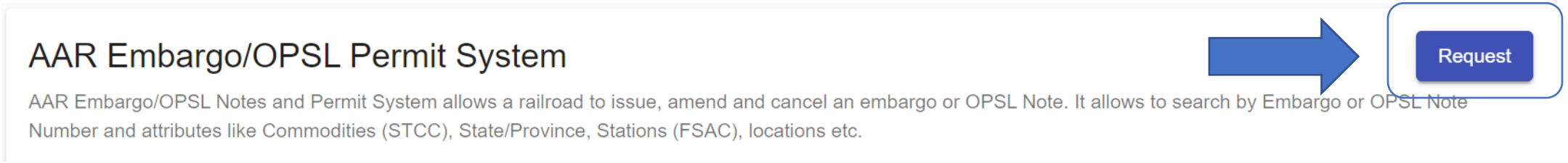
- Onboarding page → Select Non-IRF Option for “**Other (Company) Registration**”



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Requesting Access to the Embargo Application





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Requesting Access to the Embargo Application

- [ ] Embargo Officer - Non Level 4 (MARK required)

  Embargo officer who can issue an Embargo on behalf of his/her road.

- [ ] Embargo Party to the Waybill

  Waybill Shipper, consignee or care of party who need to request permits.

- [ ] Embargo Permit Officer-NonLvl4 (MARK required)

  Permit Officer of a road who can issue permits on behalf of his/her road.

- [ ] OPSL Note Officer (MARK required)

  Same as the role Road Embargo Issuer. Issue (request for) OPSL note on behalf of his/her road; Maintain a list of contact persons, who would be notified when a OPSL note is issued.

- [ ] OPSL Note Permit Officer (MARK required)

  Same as Railroad Permit Officer role. Accept/reject/cancel permit requests for authorized road; Cancel permits for authorized road; Create/import/export Non-Level 4 Permits for authorized road; View Level 4/Non-Level 4 permits for authorized road.

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Industry Standards & TD-1 Circular

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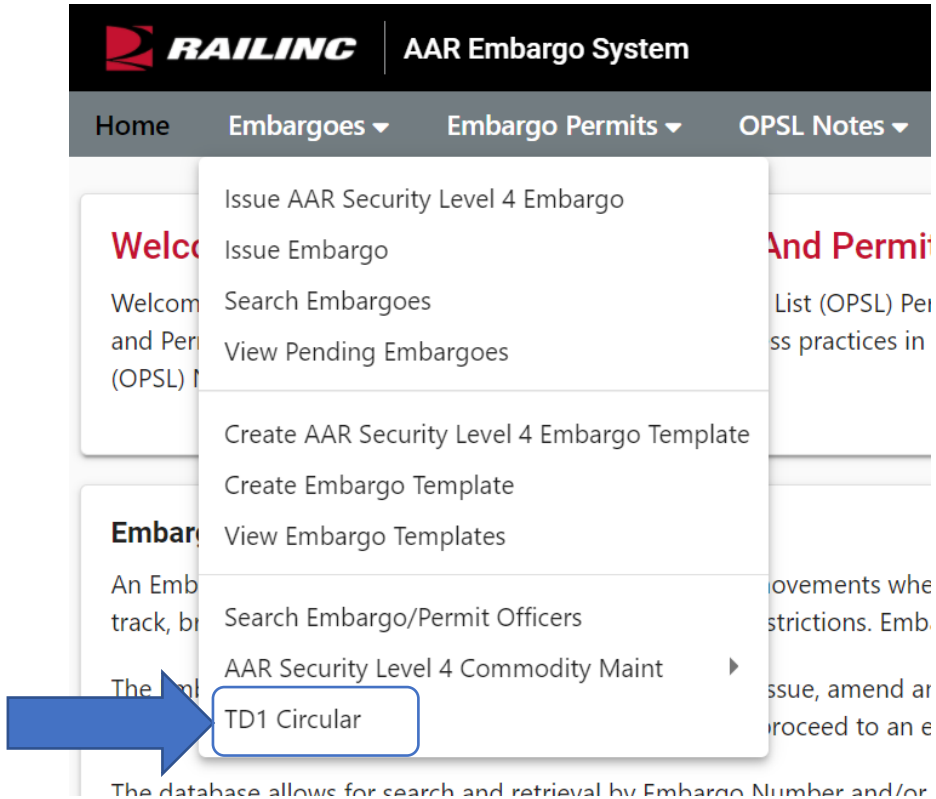
TD-1 Circular

ASSOCIATION OF AMERICAN RAILROADS

In Effect as of January 1, 2021

TO: Transportation Officers –

All Railroads The following instructions shall govern the placing and handling of Embargoes: The AAR TD-1 Circular



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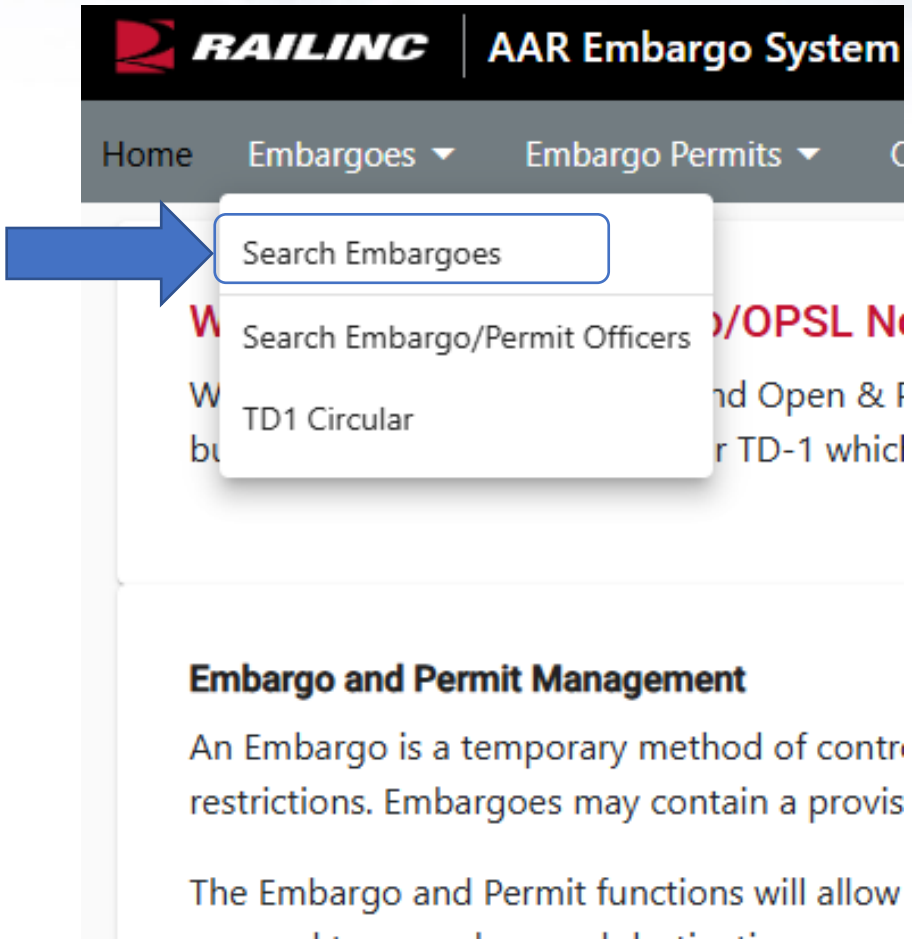


Searching Embargo or OPSL Note

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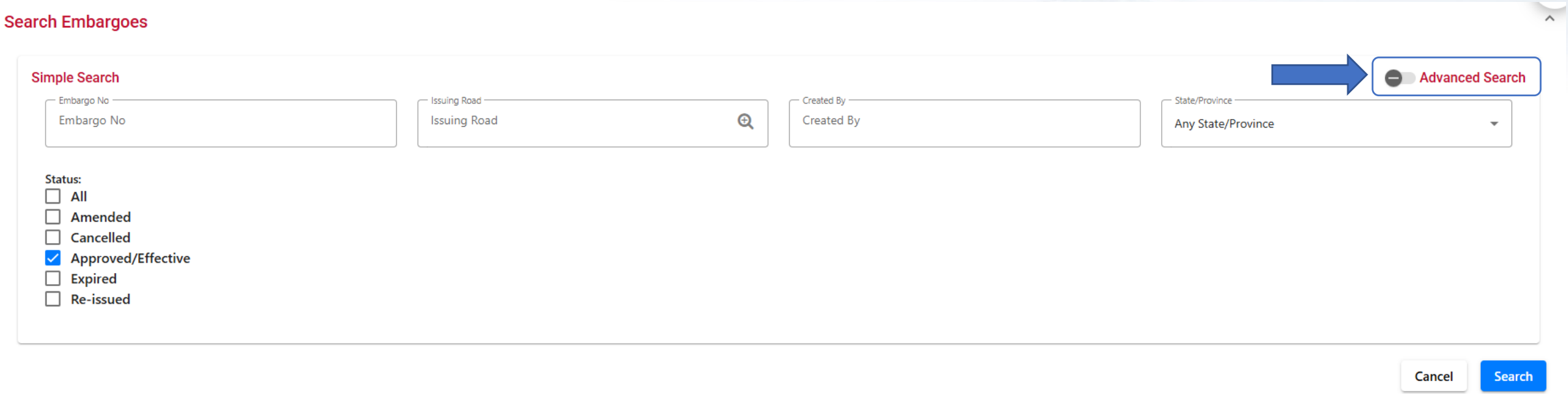
Searching an Embargo or OPSL Note

- The available options for an Embargo or OPSL Note are nearly identical.
- Everyone can search for an Embargo or OPSL Note.



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Searching an Embargo

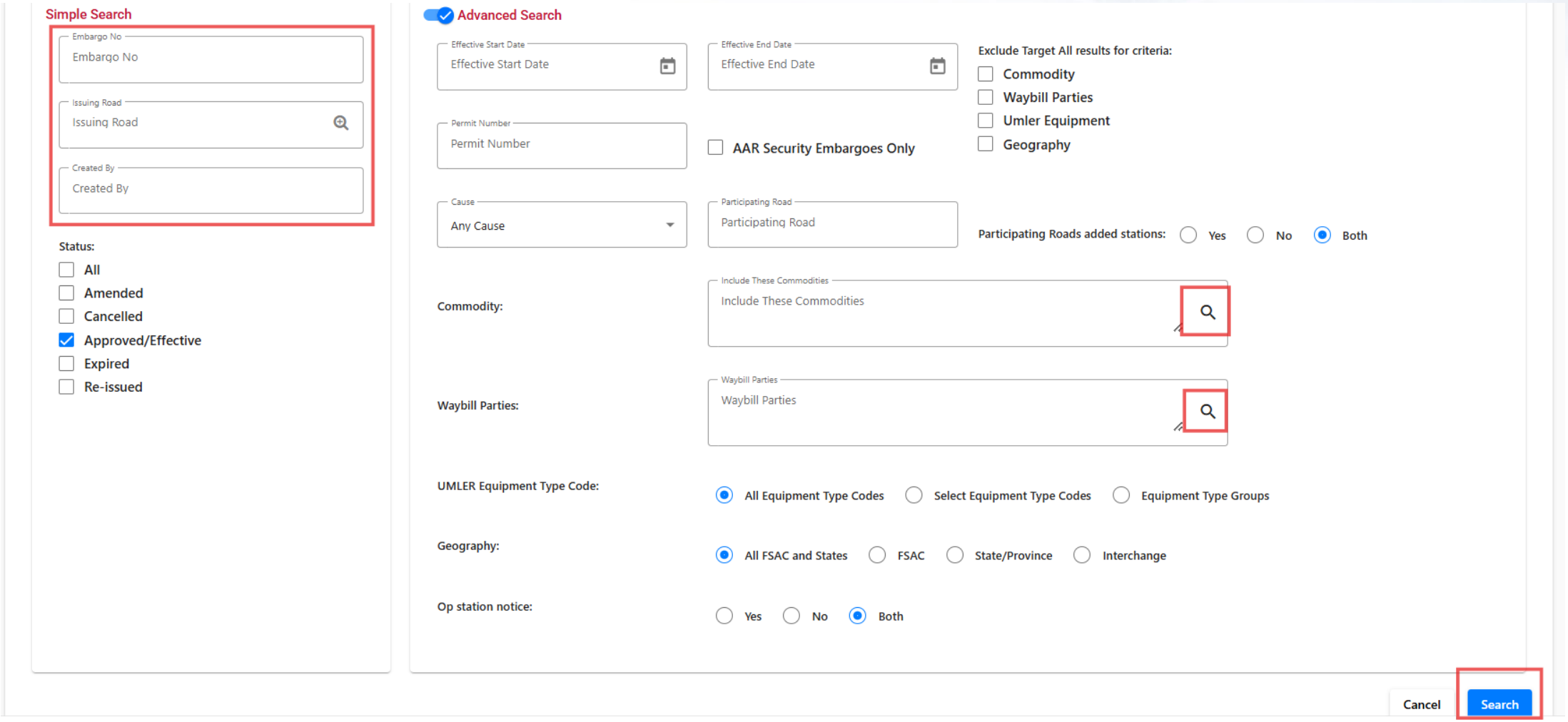


Steps

- On the tool menu, click **Embargo → Search Embargo**
- Enter the **Embargo Number or other criteria** in the search field.
- Select **Search**.
- Review the search results.
- Select the desired record to open the details page.

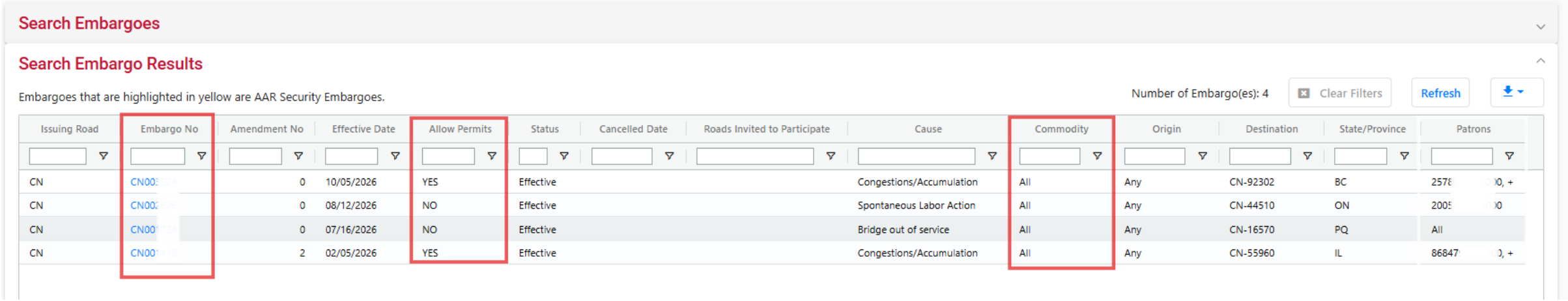
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Advanced Search



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Search Embargo Results

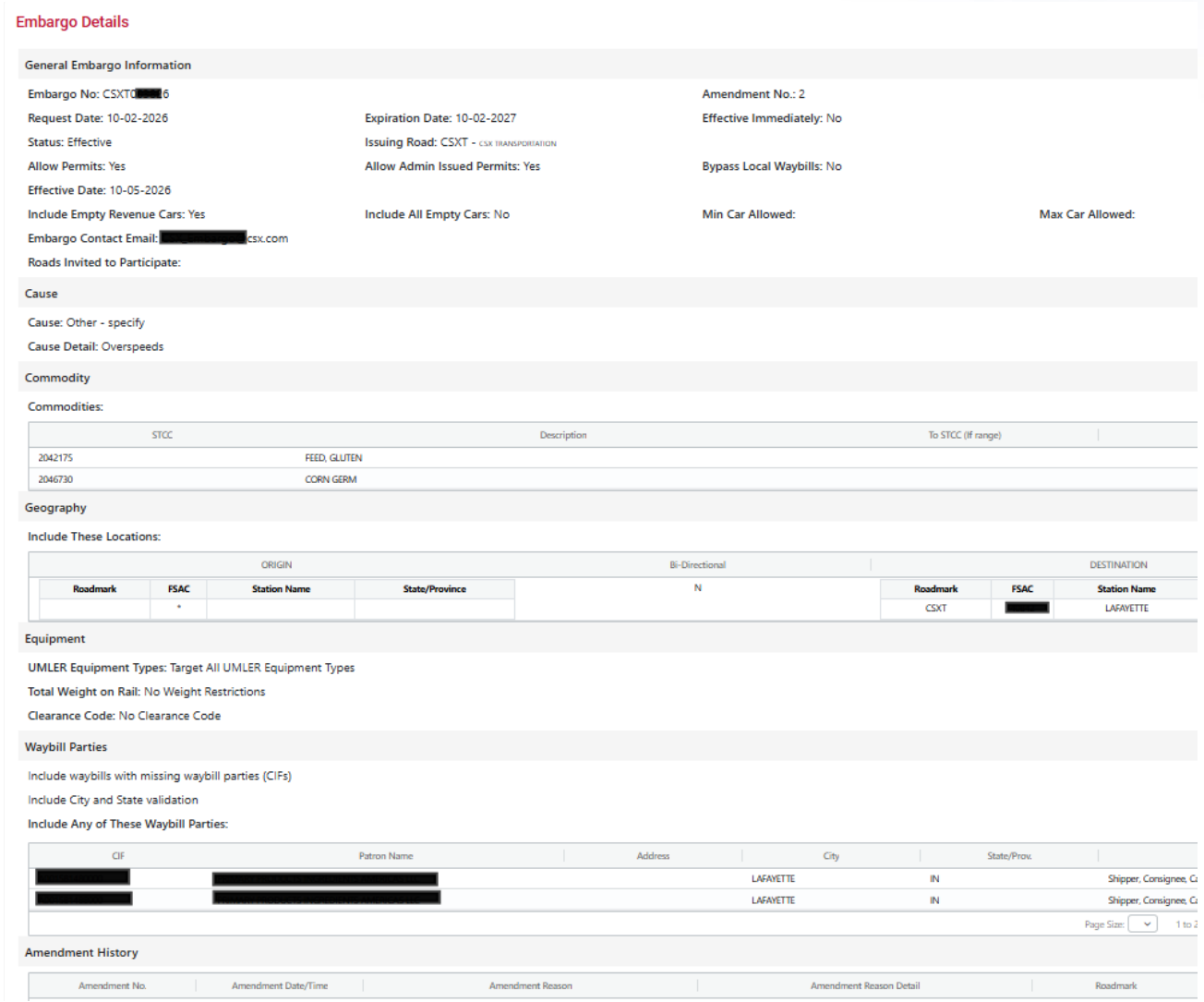


Key Columns

- **Embargo Number** – Unique identifier for the embargo.
- **Status** – Indicates whether the embargo is Active, Pending, or Expired.
- **Allow Permit** – Identifies whether permit requests are allowed for the embargo.
- **Commodity** – Commodity affected by the embargo.
- **Patron(s)** – Customer(s) or party(ies) impacted by or associated with the embargo.

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Embargo Detail Page



Embargo Details

General Embargo Information

Embargo No: CSXT[redacted]6 | Amendment No.: 2
Request Date: 10-02-2026 | Expiration Date: 10-02-2027 | Effective Immediately: No
Status: Effective | Issuing Road: CSXT - CSX TRANSPORTATION
Allow Permits: Yes | Allow Admin Issued Permits: Yes | Bypass Local Waybills: No
Effective Date: 10-05-2026
Include Empty Revenue Cars: Yes | Include All Empty Cars: No | Min Car Allowed: | Max Car Allowed:
Embargo Contact Email: [redacted]csx.com
Roads Invited to Participate:

Cause

Cause: Other - specify
Cause Detail: Overspeeds

Commodity

Commodities:

STCC	Description	To STCC (If range)
2042175	FEED, GLUTEN	
2046730	CORN GERM	

Geography

Include These Locations:

ORIGIN				Bi-Directional	DESTINATION		
Roadmark	FSAC	Station Name	State/Province	N	Roadmark	FSAC	Station Name
	*				CSXT	[redacted]	LAFAYETTE

Equipment

UMLER Equipment Types: Target All UMLER Equipment Types
Total Weight on Rail: No Weight Restrictions
Clearance Code: No Clearance Code

Waybill Parties

Include waybills with missing waybill parties (CIFs)
Include City and State validation
Include Any of These Waybill Parties:

CIF	Patron Name	Address	City	State/Prov.	
[redacted]	[redacted]		LAFAYETTE	IN	Shipper, Consignee, C
[redacted]	[redacted]		LAFAYETTE	IN	Shipper, Consignee, C

Page Size: 1 to 2

Amendment History

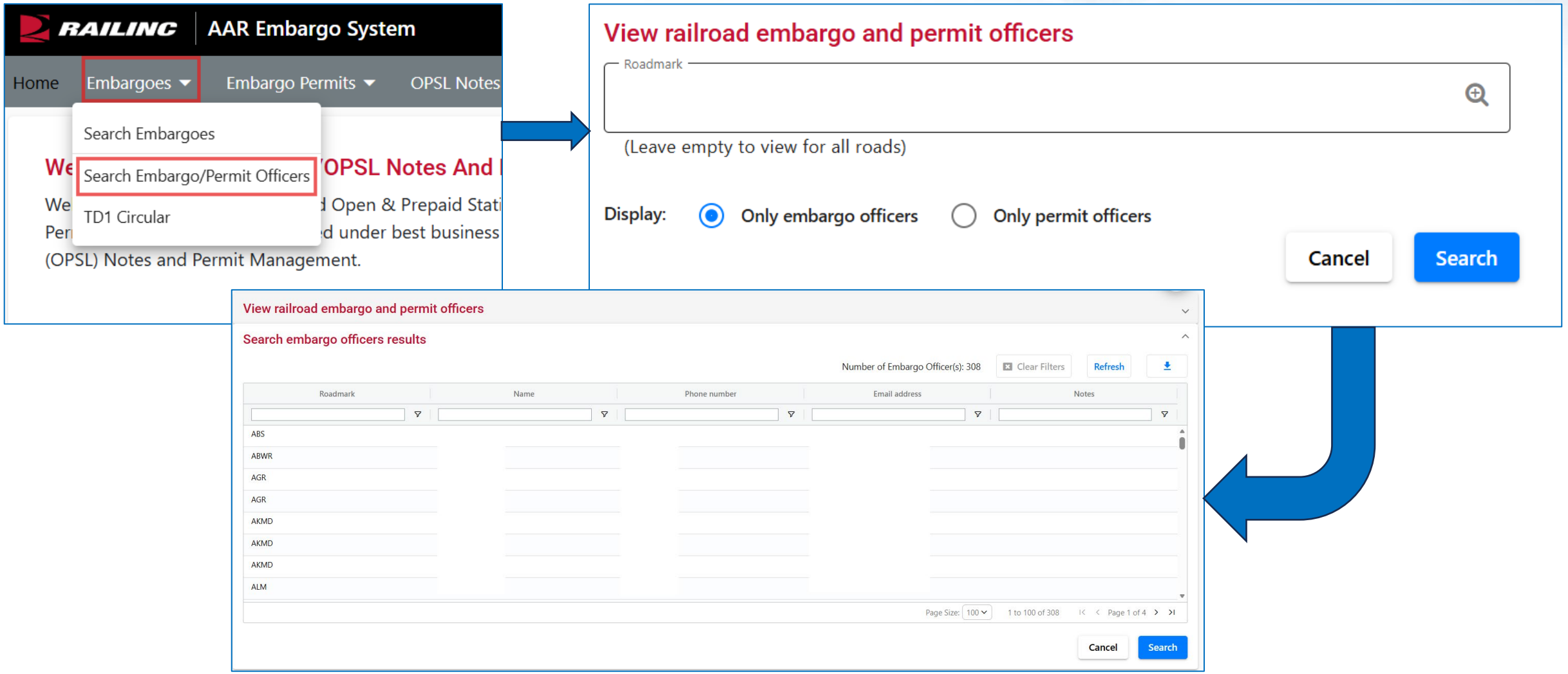
Amendment No.	Amendment Date/Time	Amendment Reason	Amendment Reason Detail	Roadmark

Key Sections

- **General Embargo Information** – Basic embargo details.
- **Cause** – Reason the embargo was issued.
- **Commodity** – Commodities affected by the embargo.
- **Geography** – Locations impacted by the embargo.
- **Equipment** – Equipment types affected by the embargo.
- **Waybill Parties** – Customers or parties associated with the embargo.
- **Amendment History** – Record of embargo updates and changes.
- **Notes** – Additional information from the embargo officer, including permit instructions or other important details. Be sure to review any notes carefully.

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Search Embargo/OPSL Note Officers



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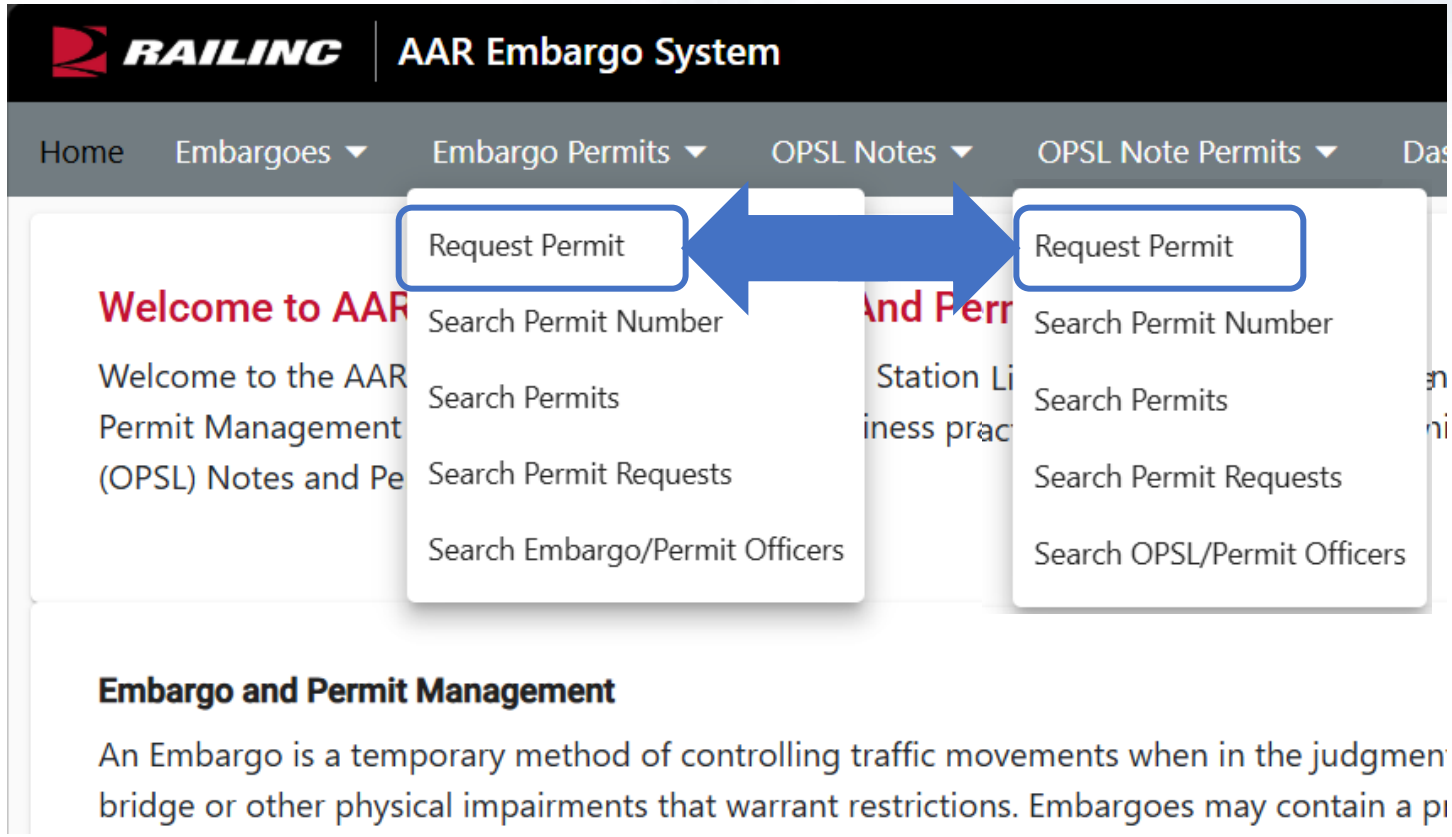


Permits

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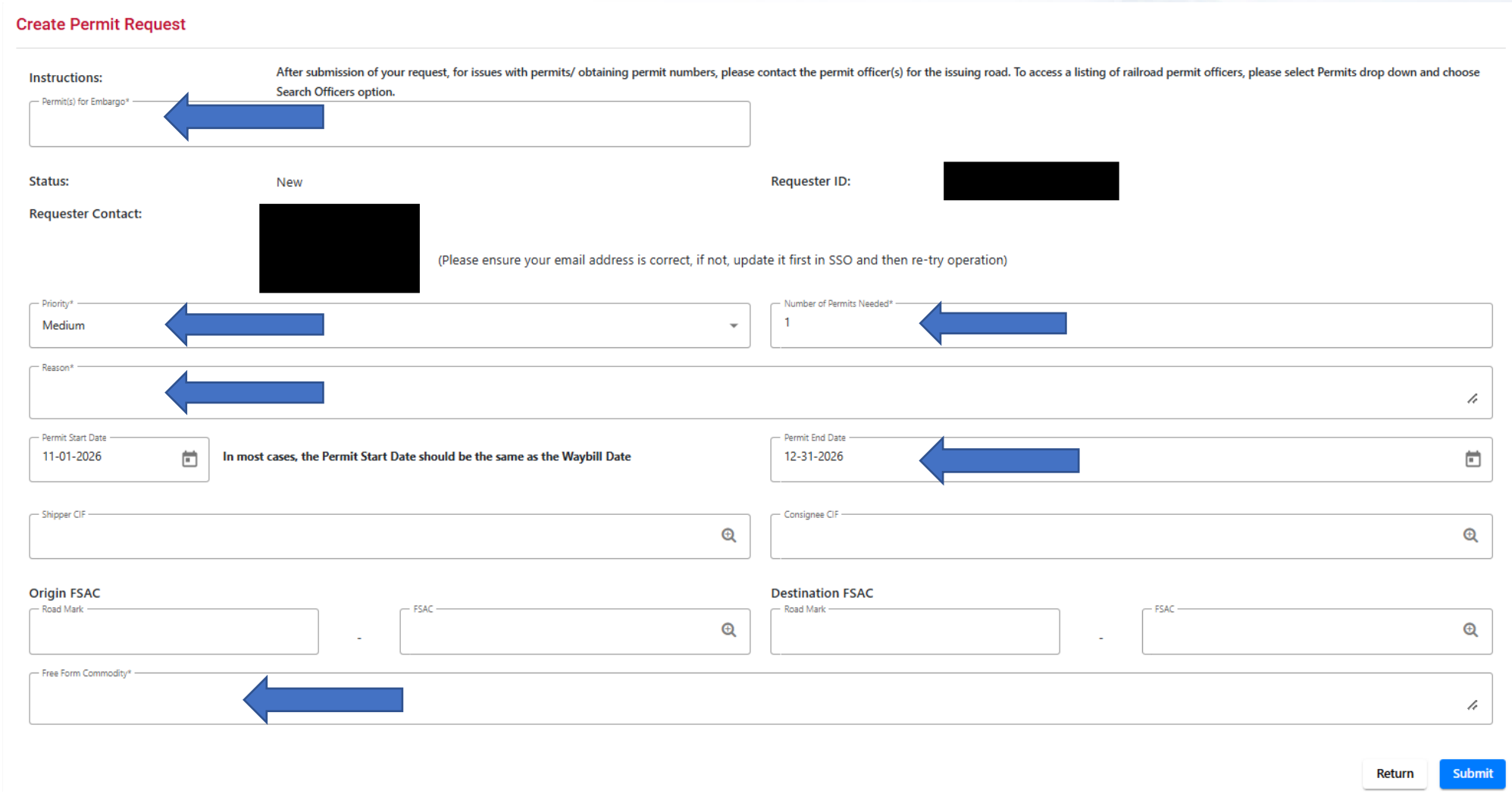
What is a Permit?

- Embargoes and OPSL Notes may contain a provision for a permit to provide a controlled movement of traffic to an embargoed destination.



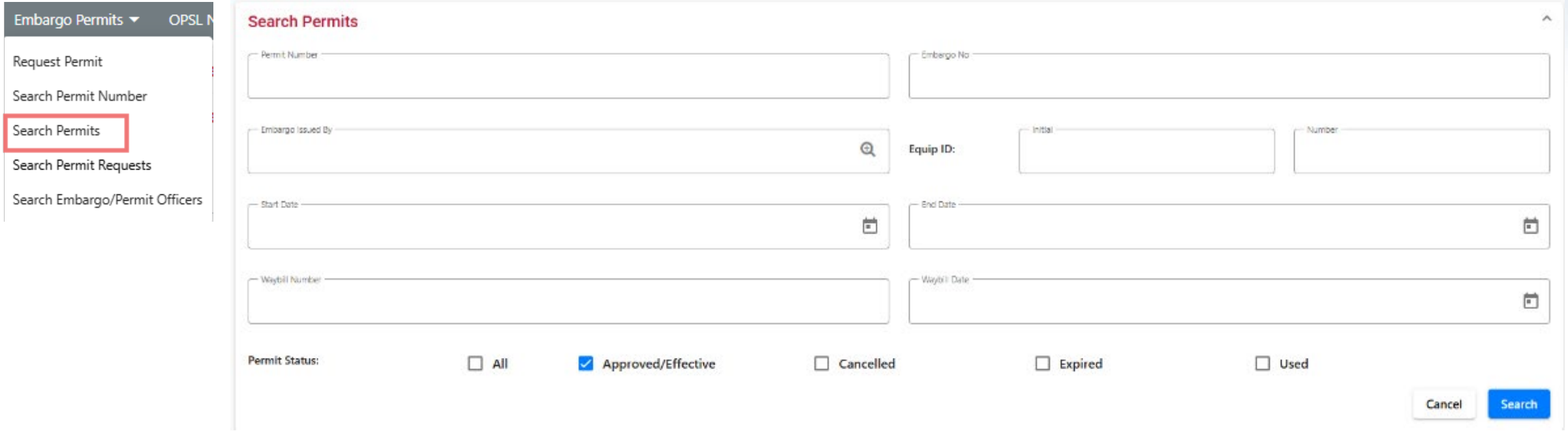
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Request a Permit



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Search & View Embargo Permits



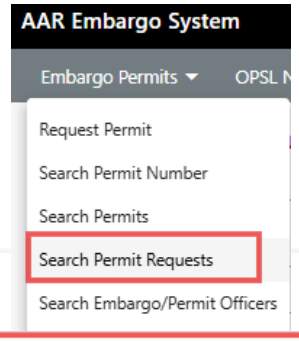
Search Permit Results

Number of Permit(s): 470 | Clear Filters | Refresh

Permit Number	Status	Used Date/ Time	Cancelled Date/ Time	Embargo Number	Start Date	End Date	Waybill Number	Waybill Date	Equipment ID	Billing Road
BBC5191893	Effective			CALA000226	04-14-2026	11-21-2026	0			
UGU5191893	Effective			CALA000226	04-14-2026	11-21-2026	0			

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Search and View Embargo Permit Requests



Search Permit Requests

Embargo No

Embargo Issued By

Start Date

End Date

Permit Status: All Approved Rejected Pending

Cancel Search

Search Permit Request Results

Permit requests that are highlighted in yellow are high priority.

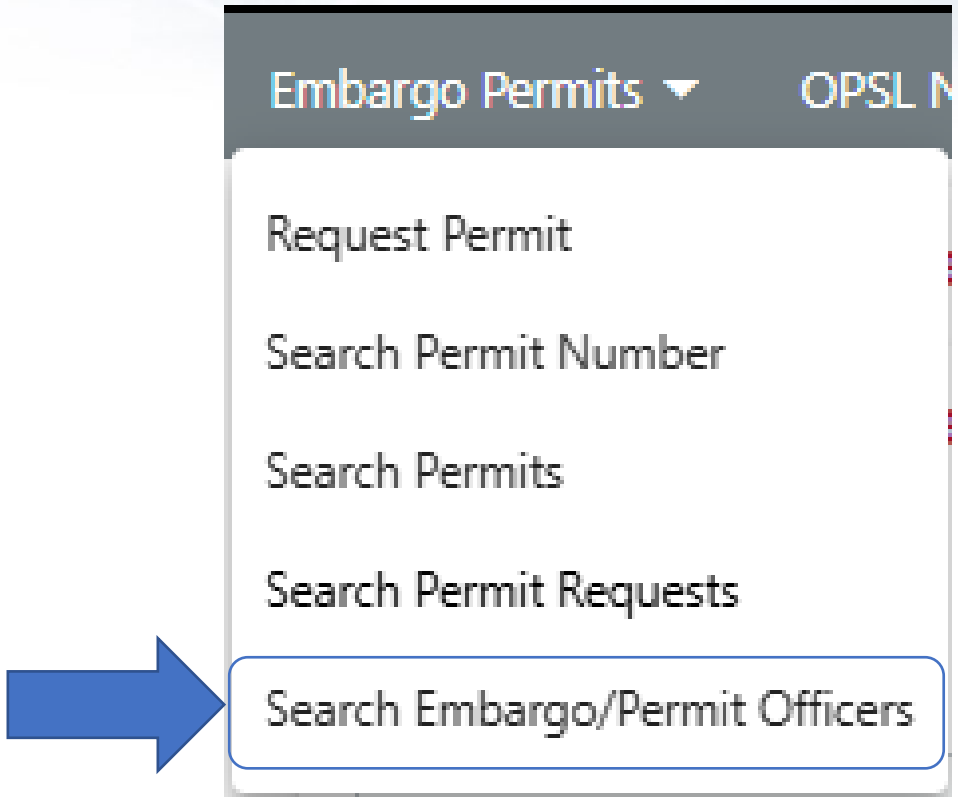
Number of Permit Request(s): 1 Columns Clear Filters Refresh

Request ID	Embargo Number	Number of Permits	Permit Request Date	Permit Start Date	Permit End Date	Origin	Destination	Status	Priority
136845	FXE003226	1	11/01/2026	10/23/2026	12/31/2026			Pending	Medium
136846	FXE003226	1	11/01/2026	10/23/2026	12/31/2026			Pending	High

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Requesting Permits Approval

- Once you have requested a permit, it is up to the permit officer from the railroad who issued the Embargo to approve.
- You can search for the permit officer(s) for a road by utilizing the search tab.
- Permit officers will use the ‘Search Permit’ menu option to find and review the permit requests before approving/rejecting them.



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Questions?



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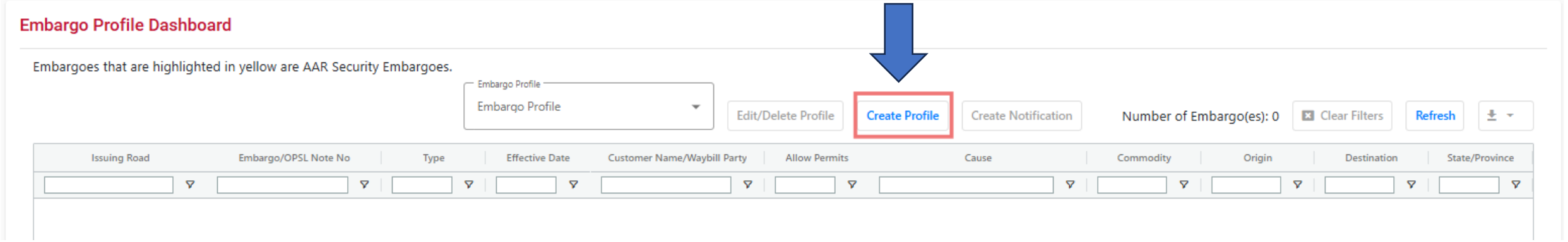
Profile Dashboard

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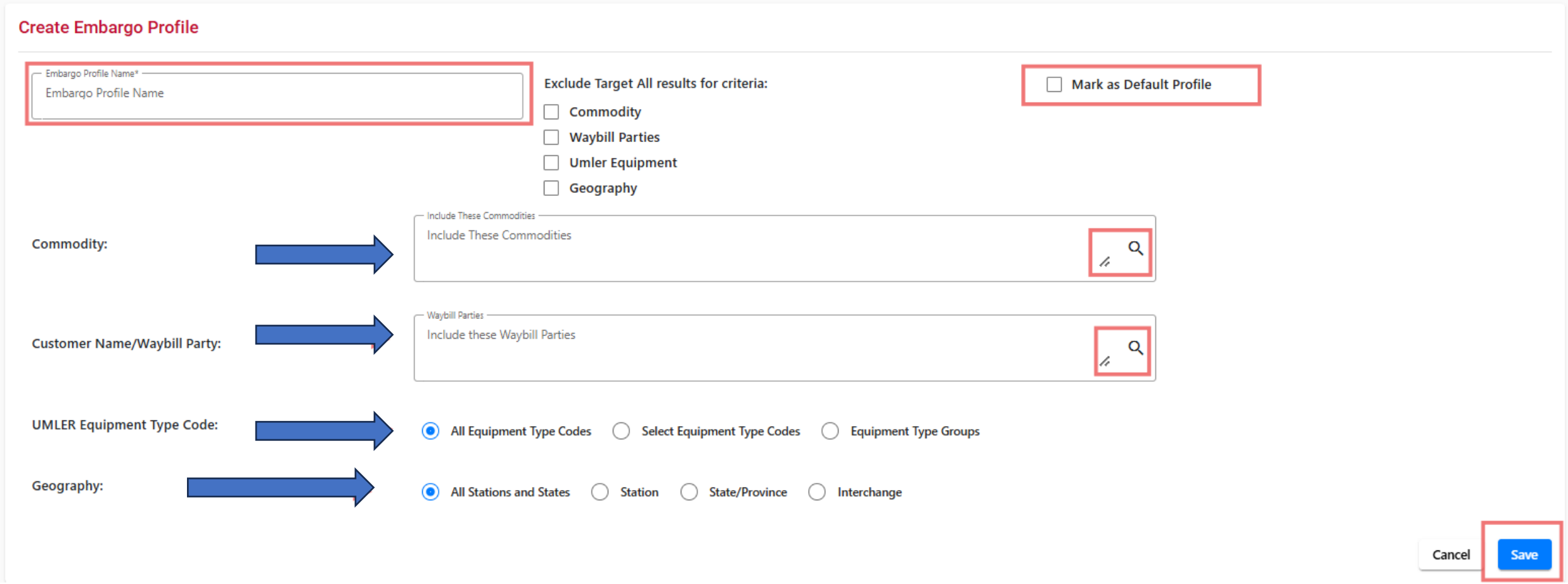
Profile Dashboard – Creating Profiles

- Quickly identify embargoes that may affect your company's shipments.
- Monitor embargo activity based on specific locations, commodities, equipment types, roads, or waybill parties.
- Receive notifications when new embargoes are issued or existing embargoes are updated.
- Stay informed about embargo changes that may impact your operations or business partners.
- View all relevant embargoes in one centralized dashboard.
- Select **Create Profile** to create a new Embargo Profile.



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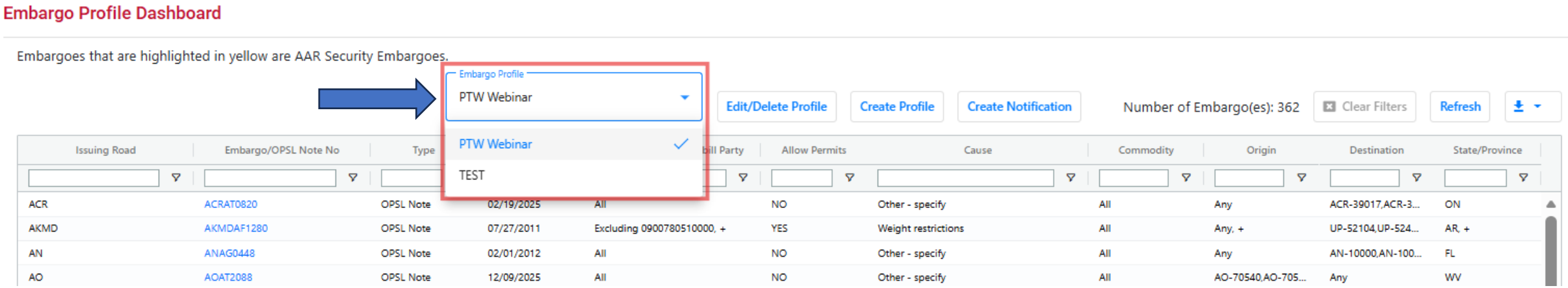
Creating Profiles, cont.



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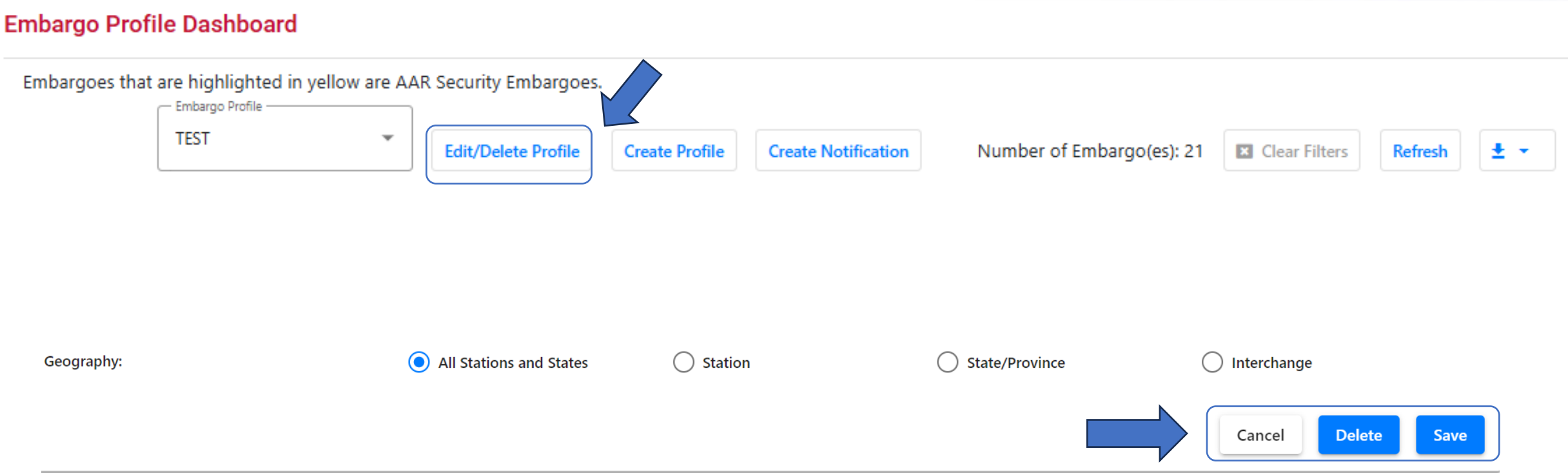
Creating Profiles, cont.

- Users can create multiple different dashboards. There is a dropdown menu to toggle between the dashboards.



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Editing/Deleting Profiles



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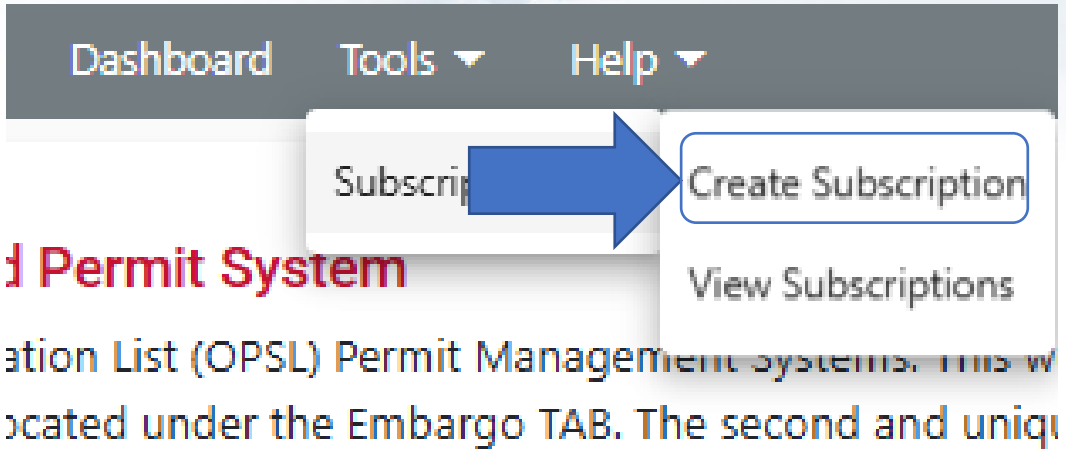
Embargo Subscriptions

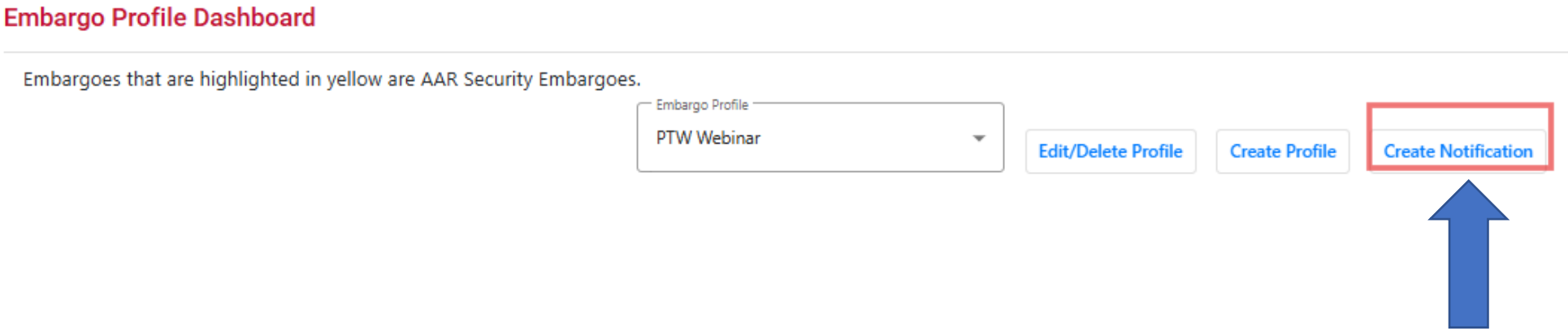
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Creating a Subscription

Subscribers to the AAR Embargo and Permit System can select to receive email notifications based on a number of criteria if an Embargo and/or an OPSL Note is:

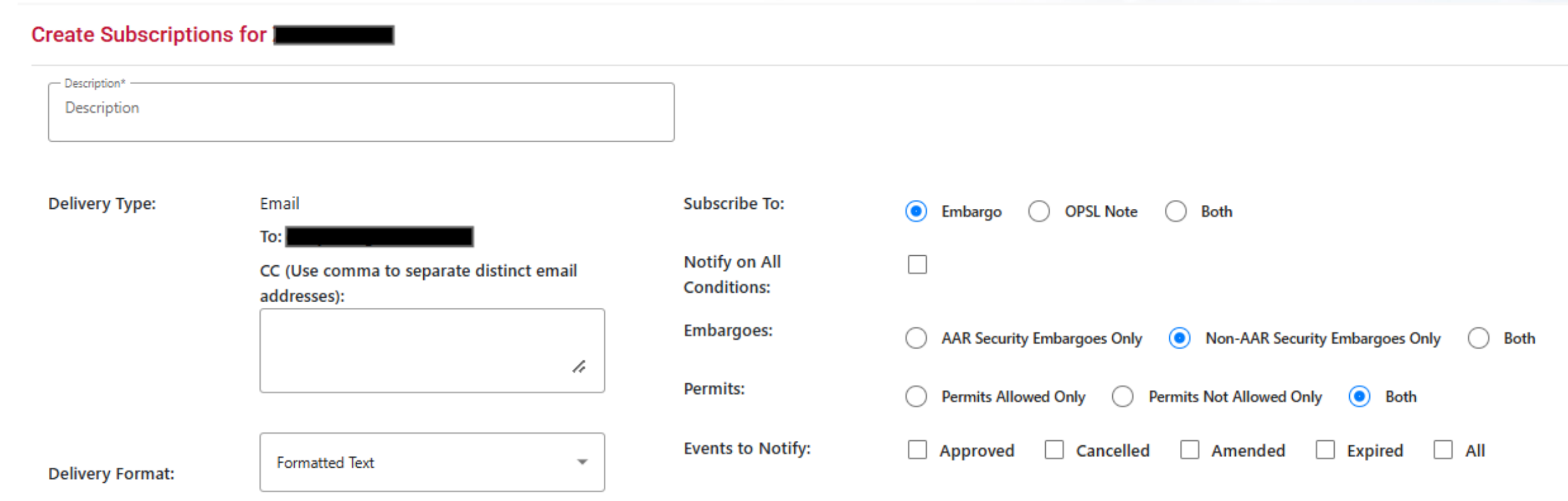
- Created
- Approved
- Cancelled
- Expired





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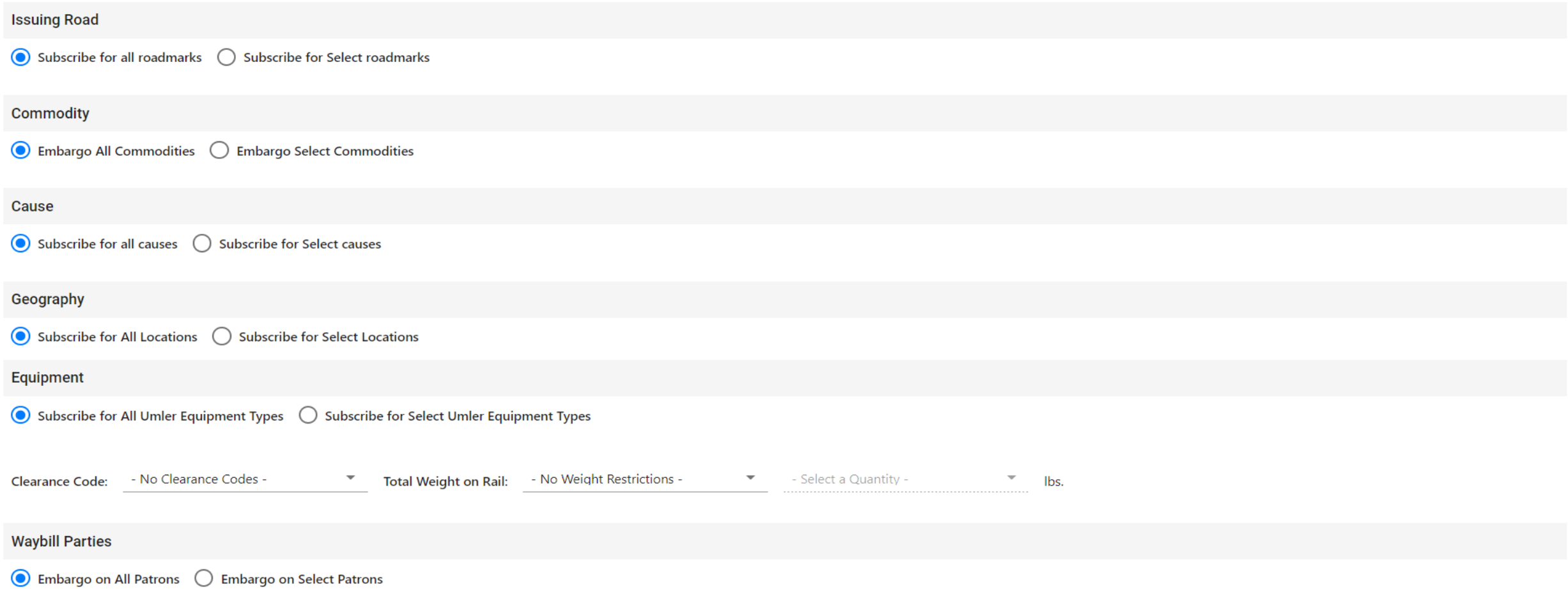
Creating a Subscription, cont.



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Creating a Subscription, cont.

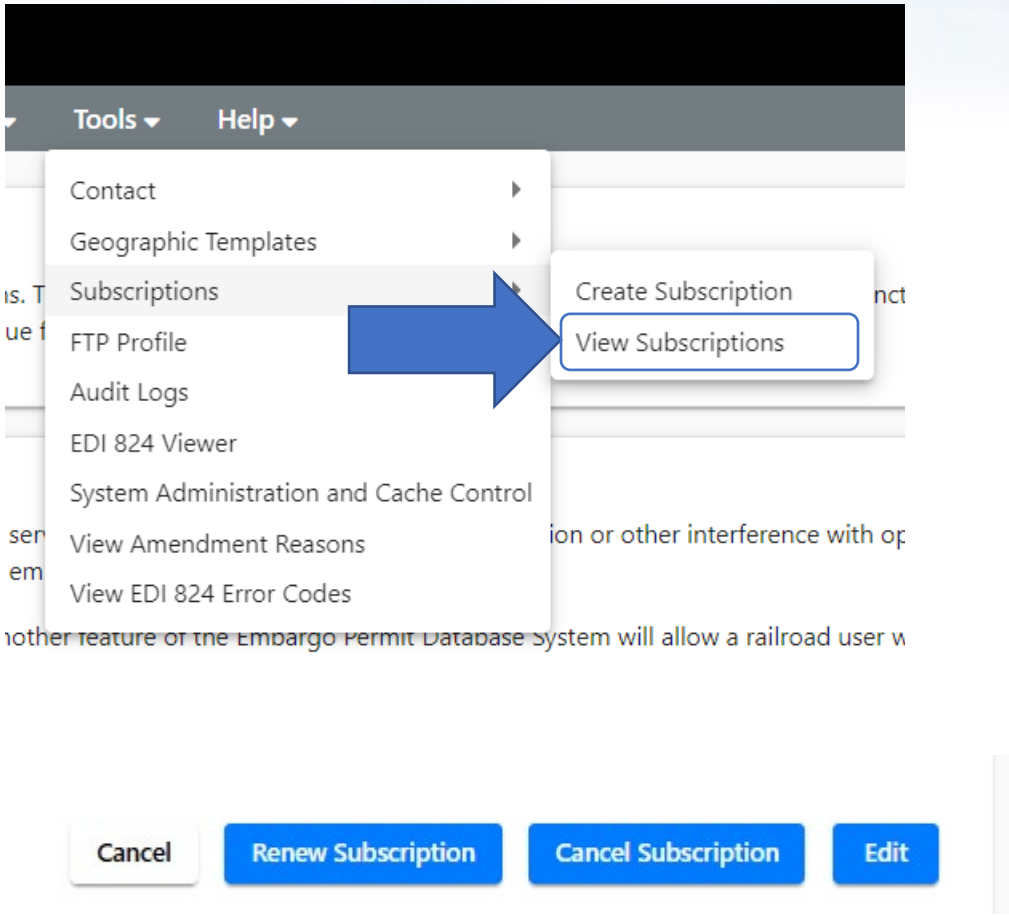
- If limiting to select criteria, there are search tools you can use for assistance:



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Viewing and Editing Subscriptions

- Subscriptions are effective for one year. Subscribers will receive an email reminder one week prior to the subscription expiration date for a chance to renew it.
- When viewing an effective/active subscription, the subscription can be edited, renewed or cancelled.

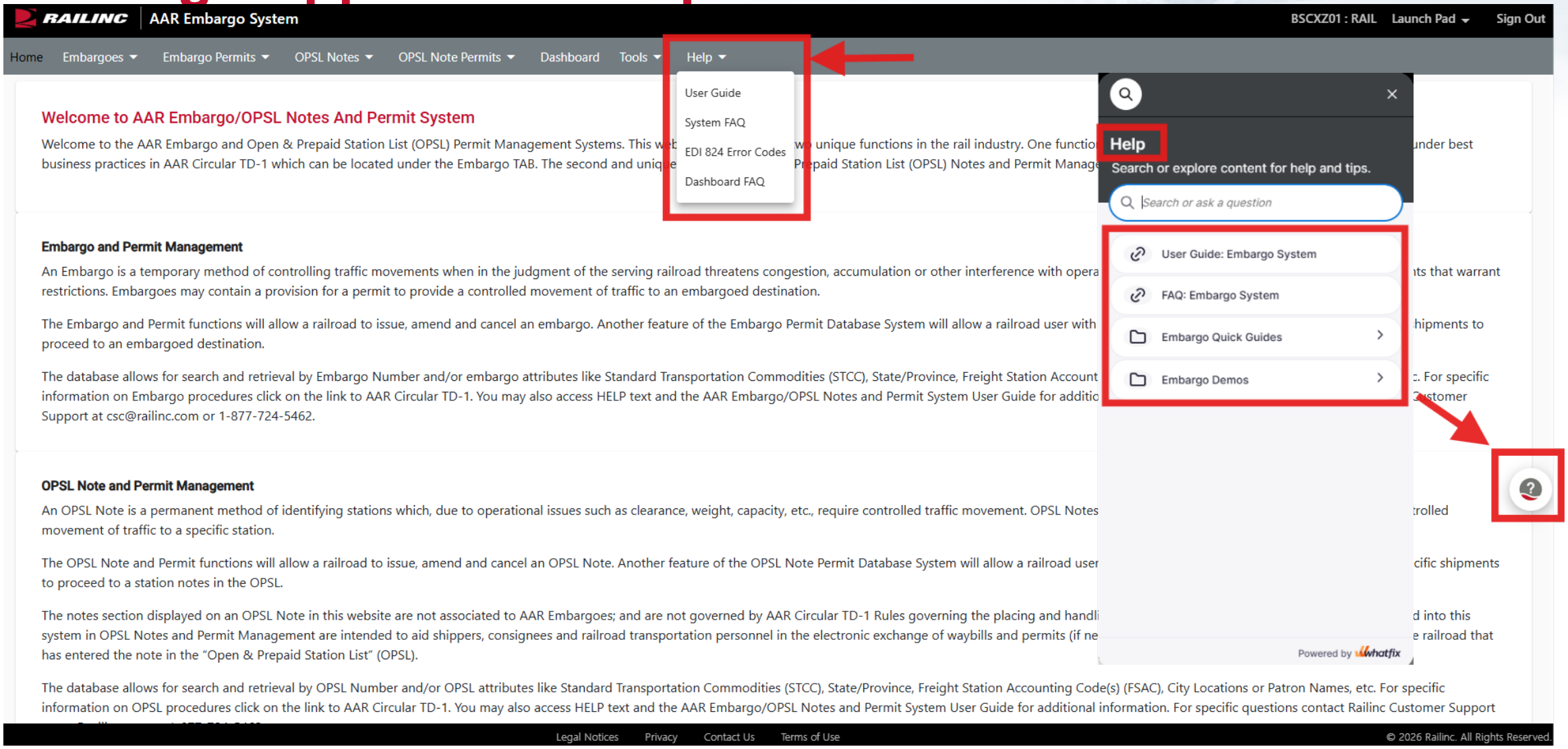


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Additional ‘Help’ Resources

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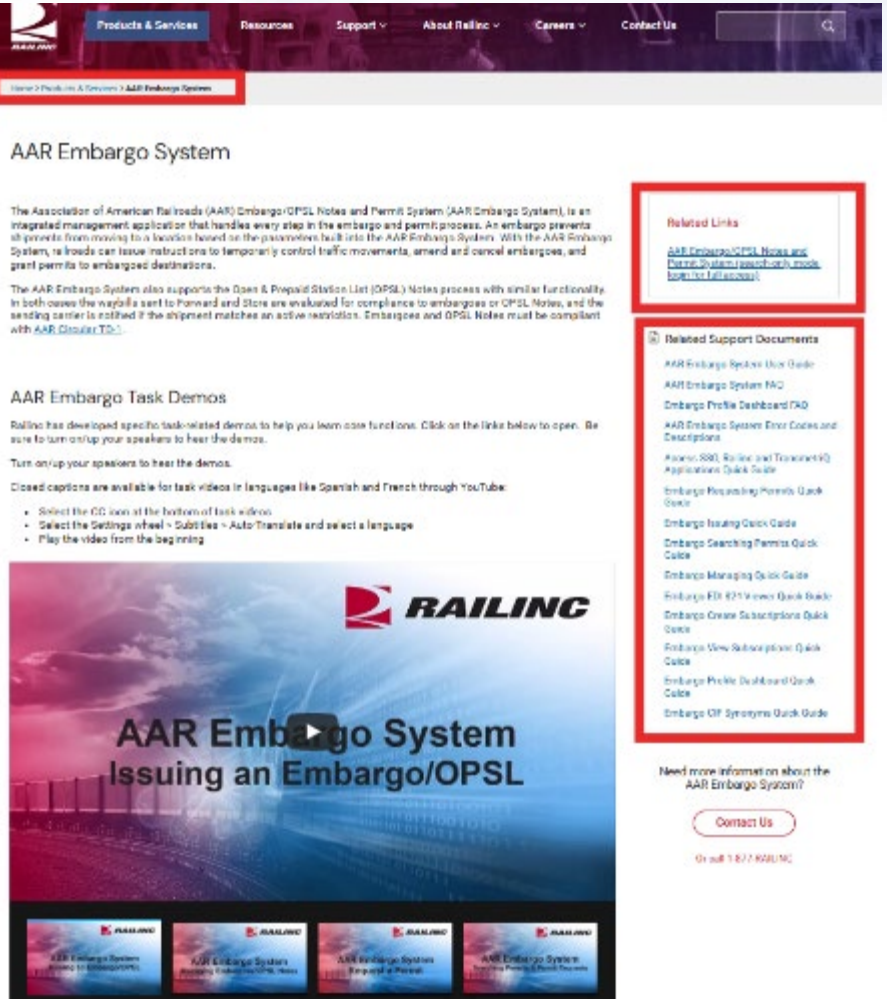
Embargo Application Help



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Embargo Product Page

- Railinc.com → Products & Services → AAR Embargo System
- Related Links → Public Embargo System Page (Without login to search Embargoes, Permits)
- Related Support Documents → User Guide, FAQ Guide, Quick Guide, etc.



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Questions?



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Common Questions

Q – What should I do if a shipment is incorrectly stopped by an embargo, or if a train gets through an embargo when it should have been stopped?

A – First, review the embargo criteria to determine whether the shipment meets the embargo conditions. If the embargo is being applied incorrectly, not applied when expected, or the reason is unclear, contact Railinc and provide the embargo number and relevant car numbers for further investigation.

Q – If I have a multi-car waybill, how many permits will I need?

A – A permit for an embargo is issued against a waybill. Only one permit is needed for each waybill that is transmitted via EDI. So regardless of the equipment count on the waybill, the permit applies to the embargo listed on that waybill.

Q – Will my shipment be allowed to move to a destination if it is subject to more than one embargo and I have a permit?

A – In cases involving more than one embargo in a waybill route, the Tier II EDI 824 edit process will require a permit for each Embargo impacting the waybill.

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Embargo System Updates

- Embargo Release – November 4th 2026
  - Mobile-friendly Embargo UI enhancements for Officers
  - Expanded EDI 824 Recipients
  - Adding the origin/destination detail to the embargo hold notification email
  - Improved Participating Road functionality

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Let Us Know Your Thoughts

Railinc Customer Success Center

csc@railinc.com

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Railinc Keeps You Moving

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