



Agenda



- Permissions
- Accessing the Application
- Searching for Equipment
 - Railroad User View
 - Equipment Owner View
 - Fleet Manager View
- Create Fleet
 - Controlling Entities
 - Manage Storage Locations
 - Add Equipment
- Fleet Management
 - Fleet Suspension Process
- Equipment Transfers
- Disposition Requests
- Helpful Links



Association of American Railroads
Safety and Operations • Business Services
425 Third Street, SW • Washington, D.C. 20024

CIRCULAR No. OT-57

IN EFFECT AS OF FEBRUARY 1, 2020

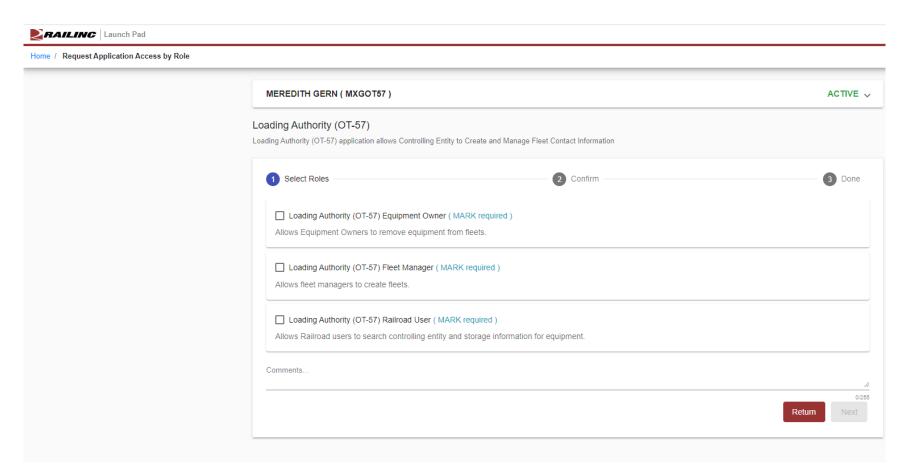
RULES GOVERNING REGISTRATION OF PRIVATE CARS AND CONTROLLING ENTITY CONTACT & STORAGE INFORMATION



Permissions



- There are three user roles in the Loading Authority OT-57 application.
 - Equipment Owner
 - Fleet Manager
 - Railroad User

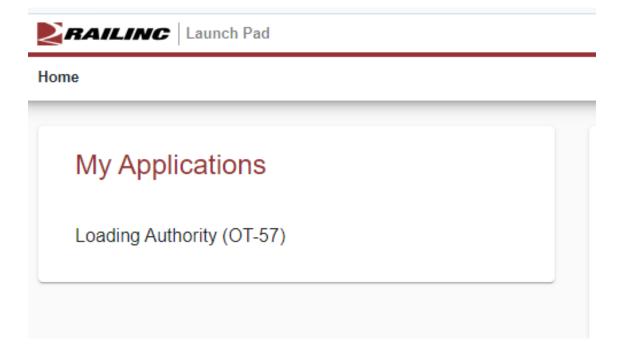




Accessing the Application



 Once permission is granted, you can access the Loading Authority OT-57 application via the Launch Pad, under the My Applications section.

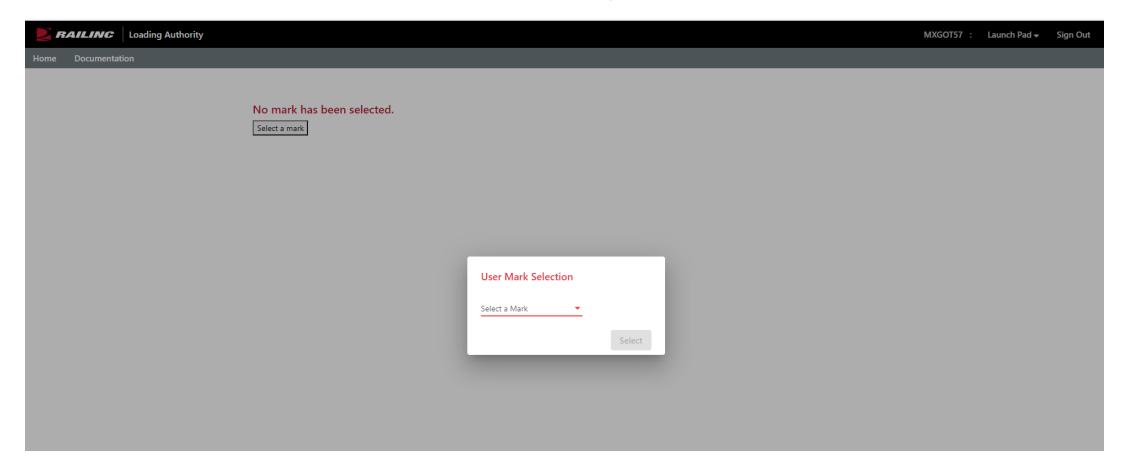




Accessing the Application



•If a user has access to the application under more than one mark, they will be prompted to select a mark when accessing the application.





Home Page View



Home page view for a railroad user



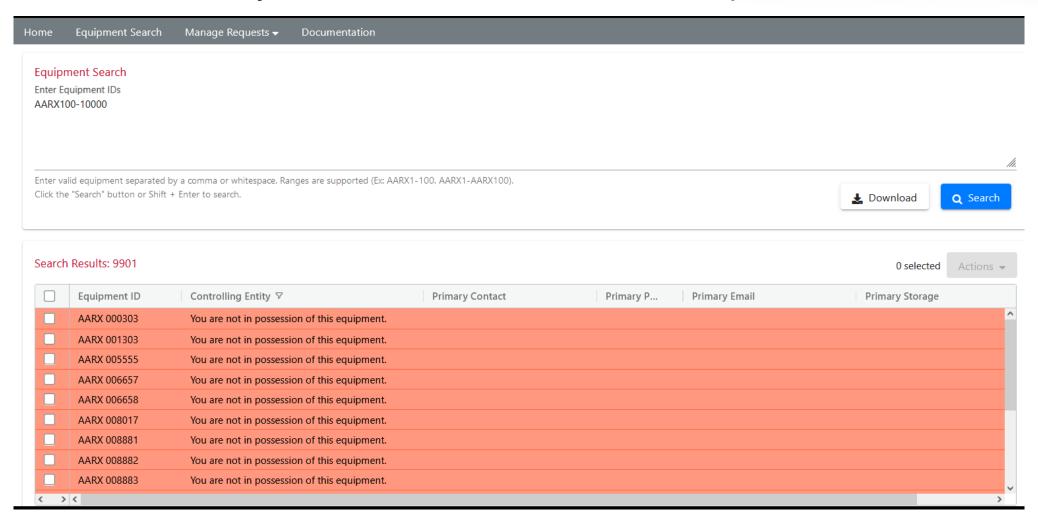




Searching for Equipment



•Railroad users will only see information for cars in their possession.





Home Page View



Home page view for an equipment owner

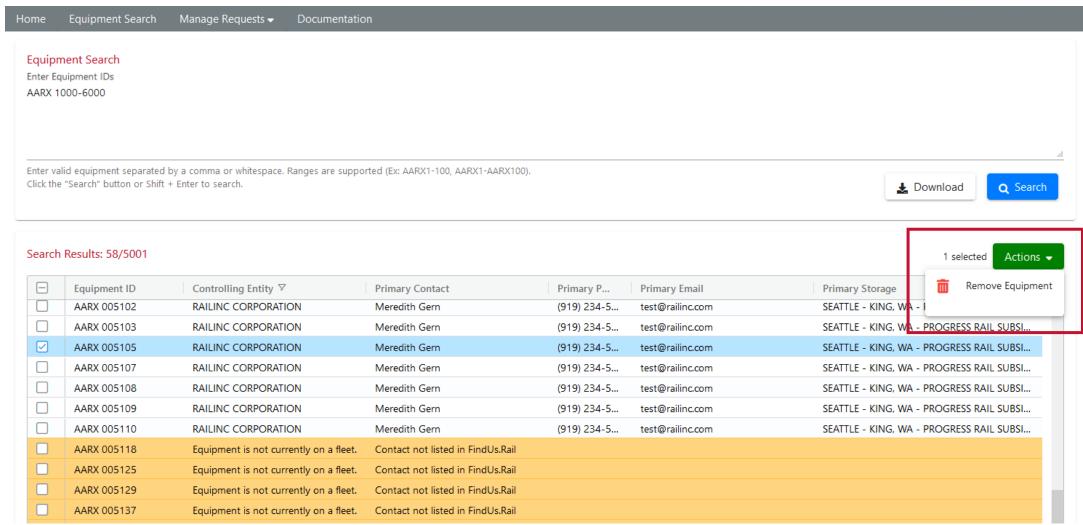




Searching for Equipment



Equipment owners have the option to remove their cars from any fleet.

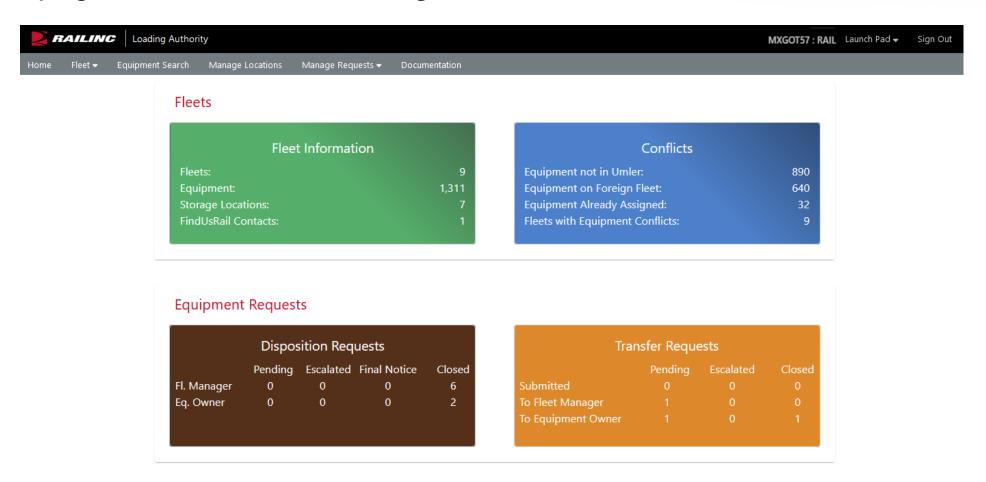




Home Page View



Home page view for a fleet manager

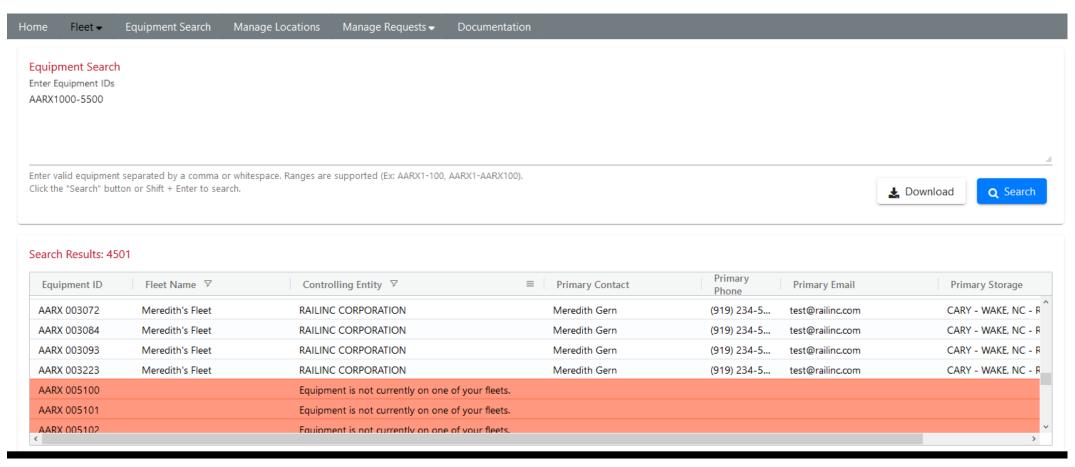




Searching for Equipment



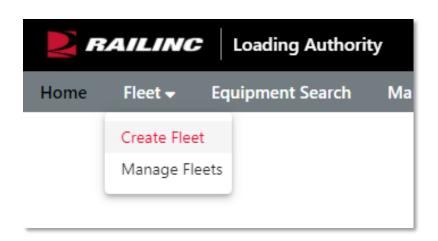
•Fleet managers will only see information for equipment listed on one of their fleets.







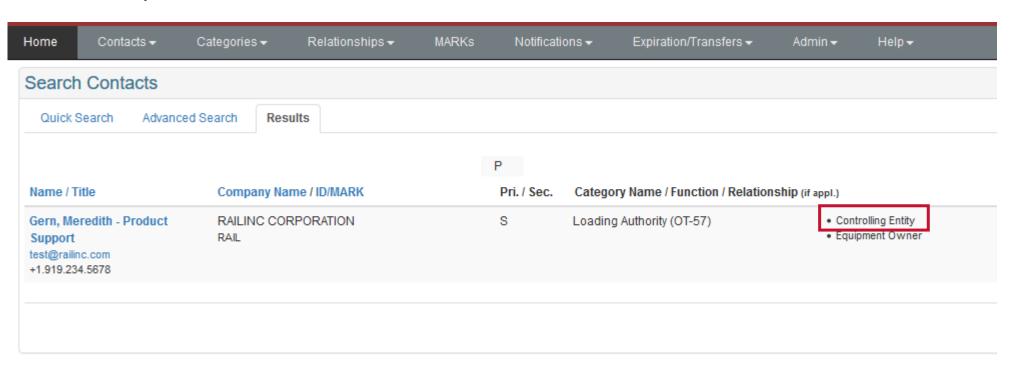
- Before creating a fleet you will need the following information:
 - Controlling entity contact registered in FindUs.Rail
 - Add a new contact to FindUs.Rail
 - Update an existing contact
 - Storage location
 - Location name
 - Delivery carrier
 - Freight Station Accounting Code (FSAC) Station
 - Standard Point Location Code (SPLC)
 - Customer Identification File (CIF)
 - Equipment list
 - Fleet name
 - Optional fleet description







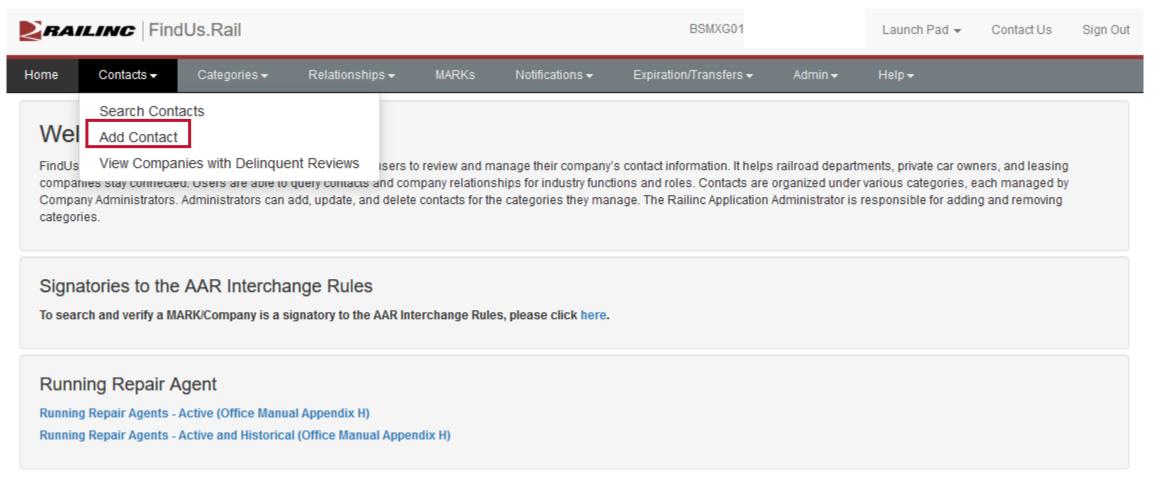
- •The first step in creating a Loading Authority OT-57 fleet is to register at least one controlling entity contact in FindUs.Rail.
 - For purposes of Loading Authority, the controlling entity is someone a railroad should contact if disposition information is needed.







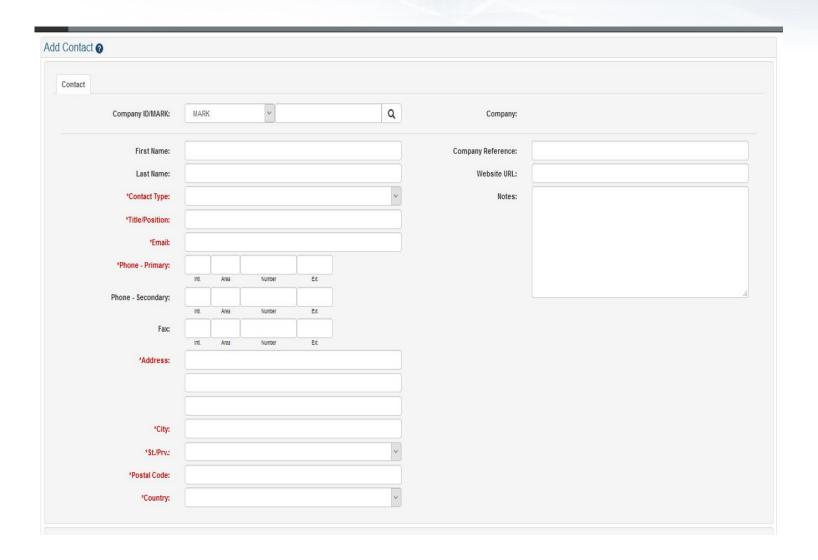
•To register a new contact as a controlling entity, select 'Add Contact' from the contacts tab dropdown menu in FindUs.Rail.







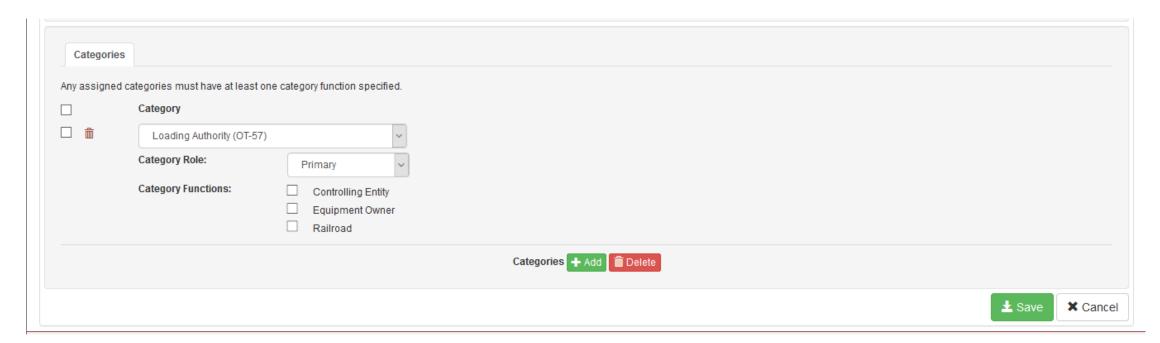
- •Enter information for your controlling entity contact.
- •All red fields are required.







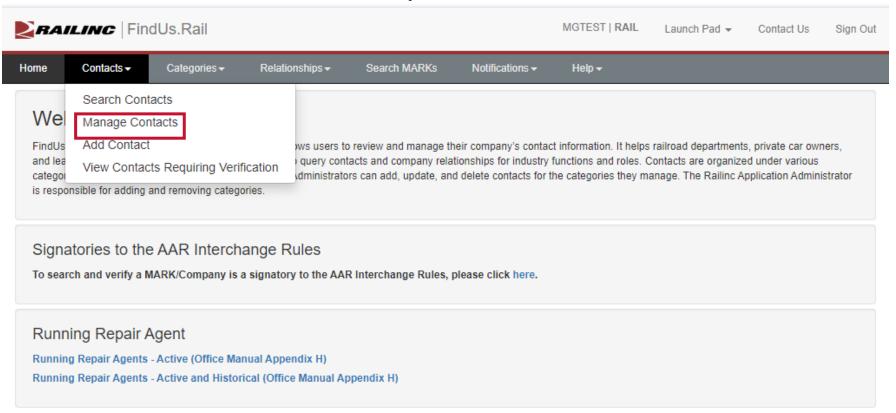
- •At the bottom of the page, you will see the categories section.
 - Select 'Loading Authority (OT-57)' from the dropdown menu.
 - Select the appropriate category function.
 - You can select more than one category function.







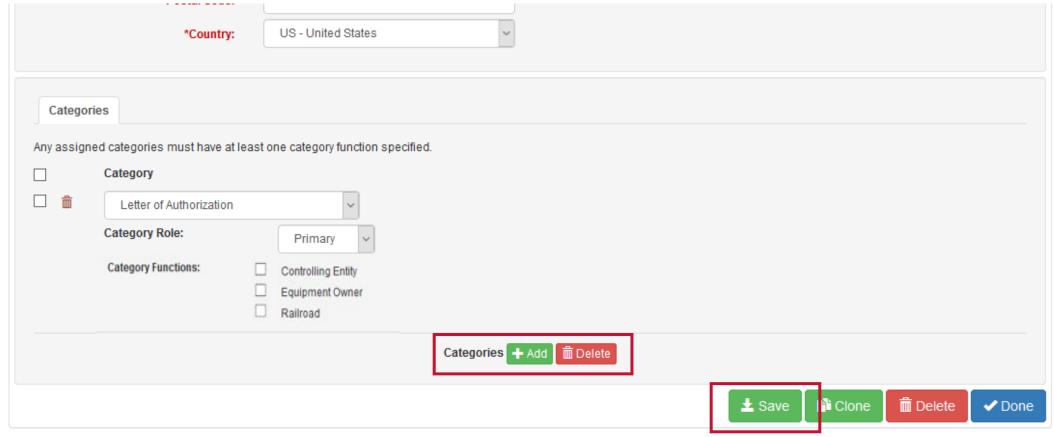
•To add a Loading Authority OT-57 role to an existing contact, select 'Manage Contacts' from the contacts tab dropdown menu.







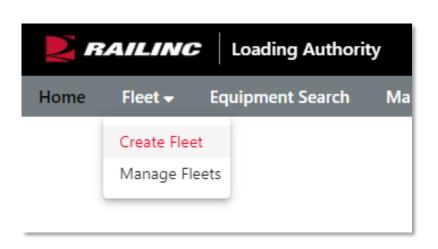
•Locate the name of the contact you wish to edit. Check the box next to the name and click 'Edit' on the righthand side of the screen. Scroll down past the contact information and you should see the categories section.







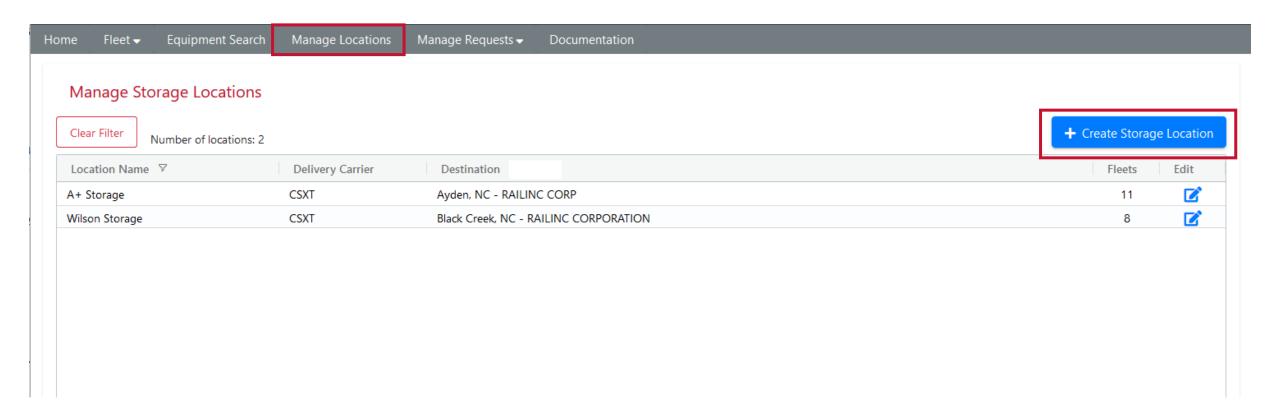
- Before creating a fleet you will need the following information:
 - ✓ Controlling entity contact registered in FindUs.Rail
 - Add a new contact to FindUs.Rail
 - Update an existing contact
 - Storage location
 - Location name
 - Delivery carrier
 - Freight Station Accounting Code (FSAC) Station
 - Standard Point Location Code (SPLC)
 - Customer Identification File (CIF)
 - Equipment list
 - Fleet name
 - Optional fleet description







Select 'Manage Locations' to view or create a storage location.







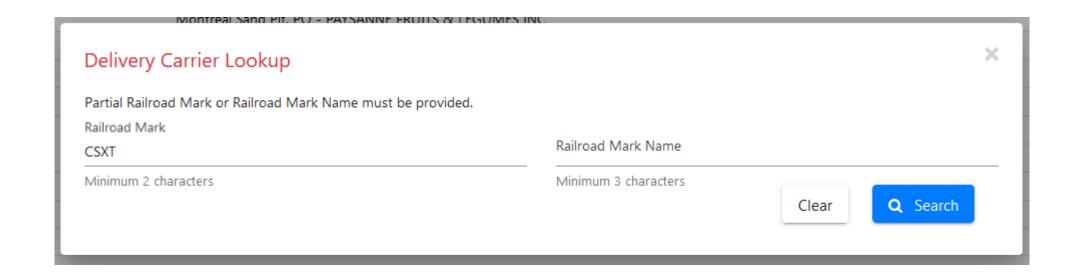
- Add information for your storage location.
 - It is best practice to use the magnifying glass search tool when available.







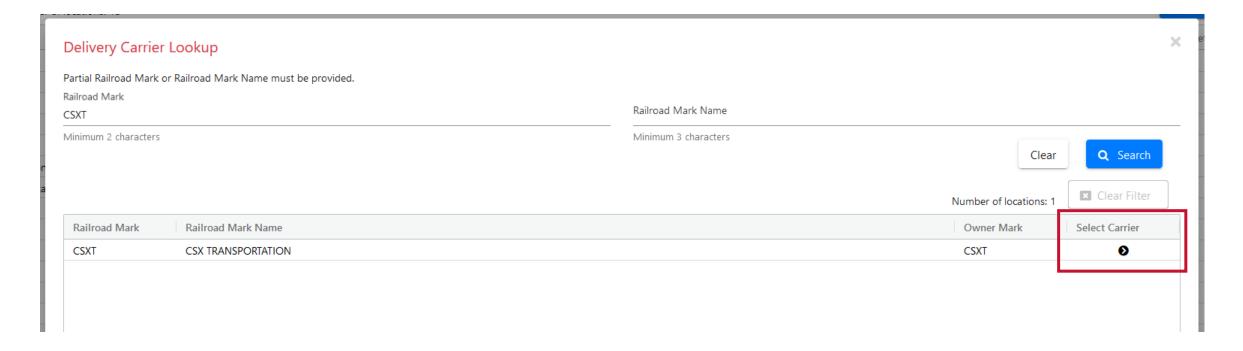
Delivery Carrier Lookup Tool







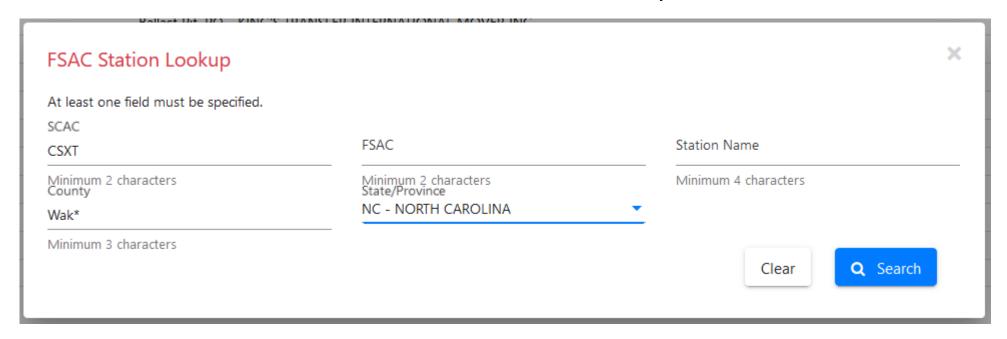
Use the black arrow under 'Select Carrier' to select the desired carrier.







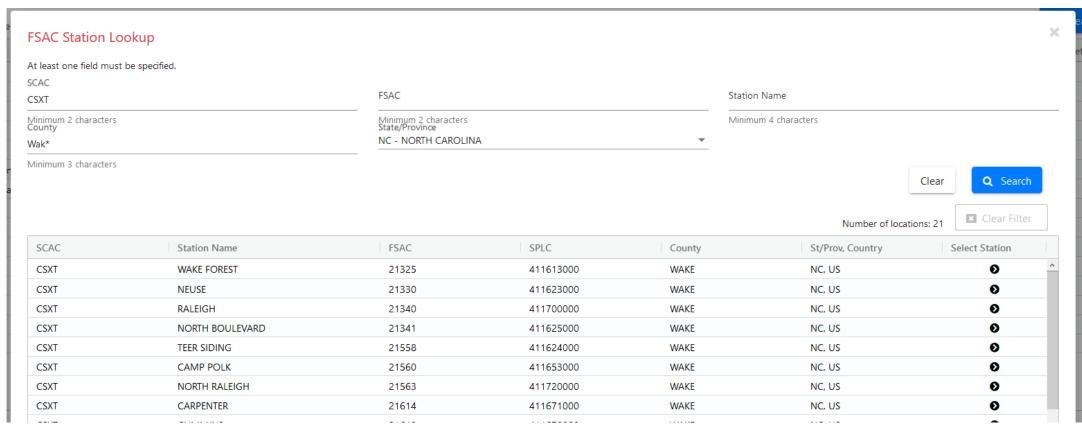
- FSAC Station Lookup Tool
 - The Standard Carrier Alpha Code (SCAC) field is auto-populated if the delivery carrier field is filled out.
 - Use the * wildcard search to narrow search results with partial information.







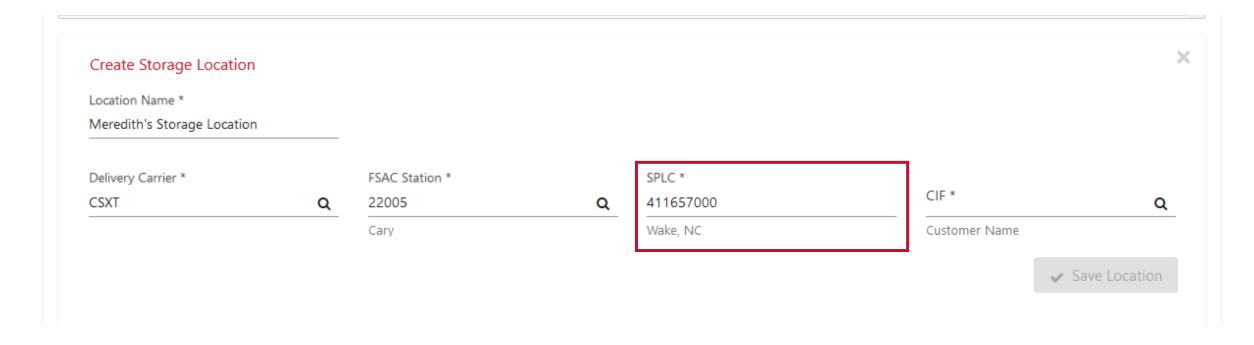
- FSAC Station Lookup Tool
 - •Use the scroll on the right to view all search results.
 - To select a station, use the black arrow.







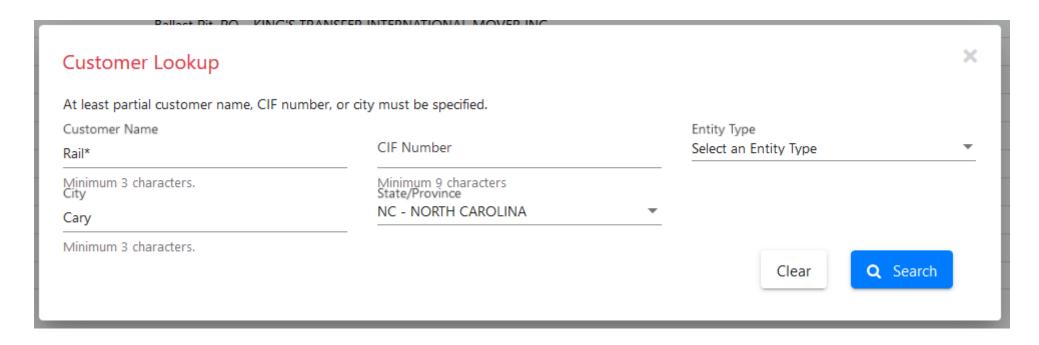
- Using the FSAC Station Lookup Tool will auto-populate the SPLC field.
 - It is not recommended that you manually enter the SPLC.







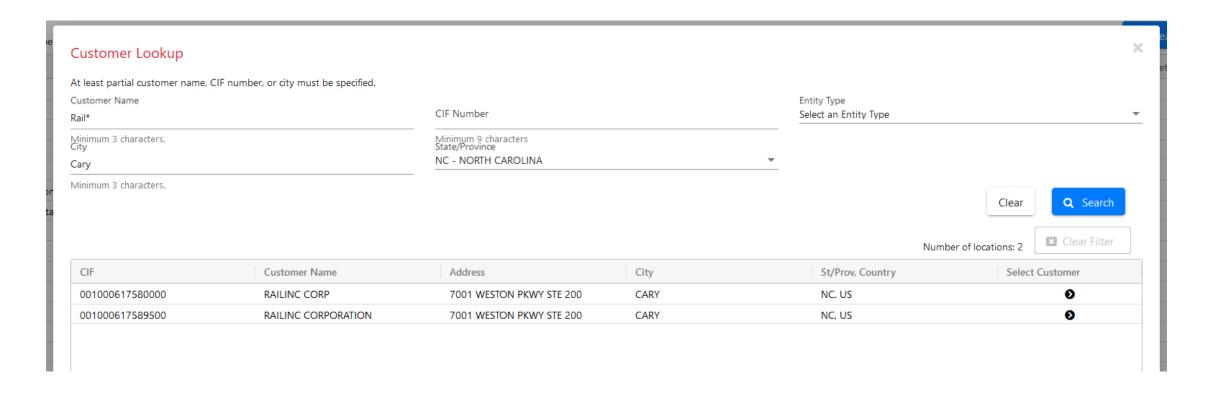
Customer Lookup Tool







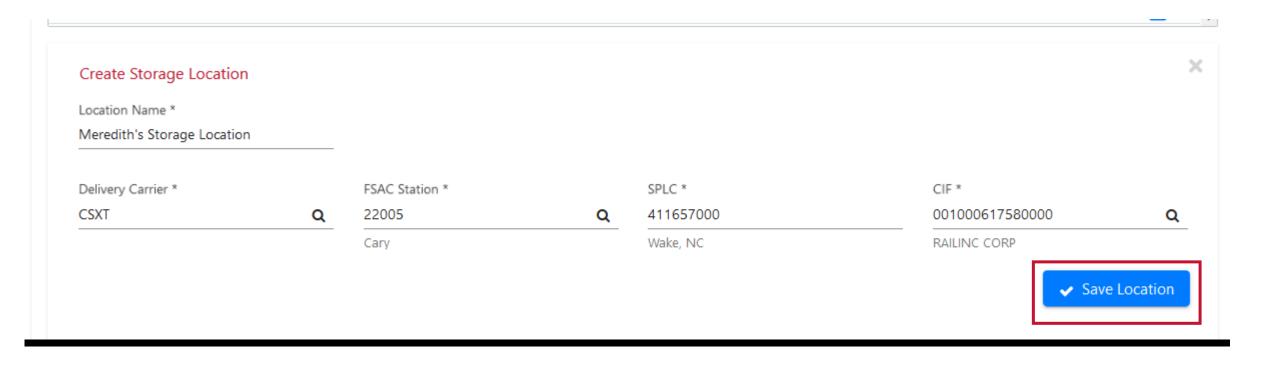
Populate as many search fields as possible to narrow your results.







- Save location
 - Repeat as needed

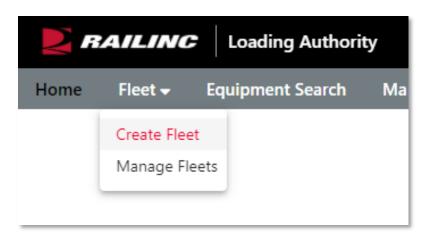






30

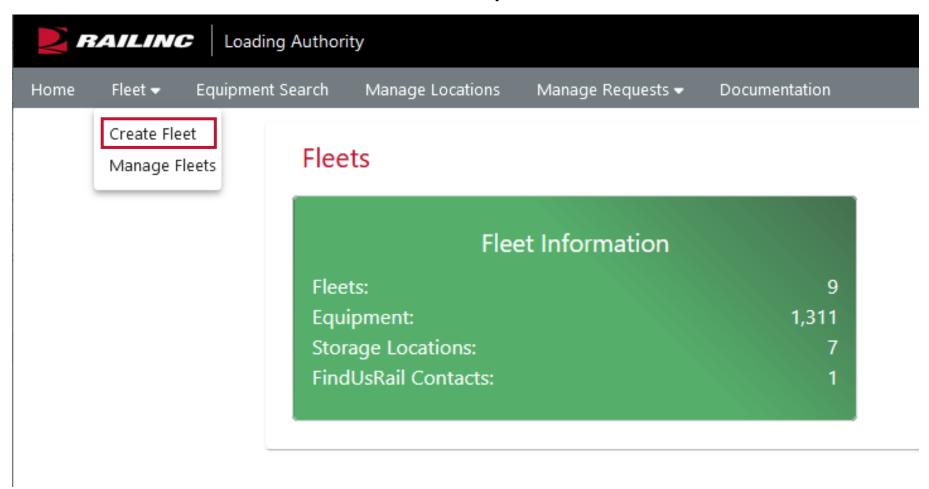
- Before creating a fleet you will need the following information:
 - ✓ Controlling entity contact information
 - Added to FindUs.Rail
 - √ Storage location
 - Location Name
 - Delivery Carrier
 - Freight Station Accounting Code (FSAC) Station
 - Standard Point Location Code (SPLC)
 - Customer Identification File (CIF)
 - Equipment list
 - Fleet Name
 - Optional fleet description







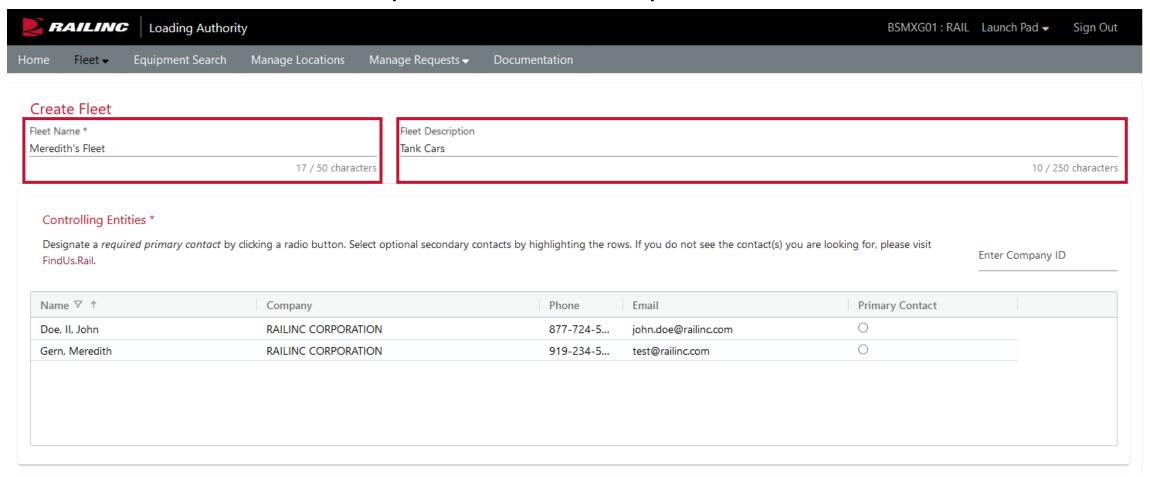
Select 'Create Fleet' from the fleet dropdown tab.







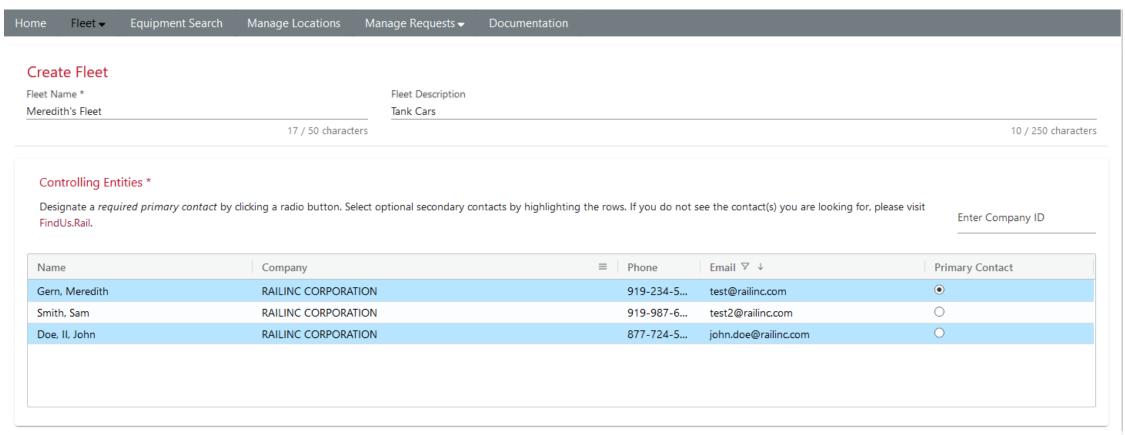
Enter the fleet name and optional fleet description.







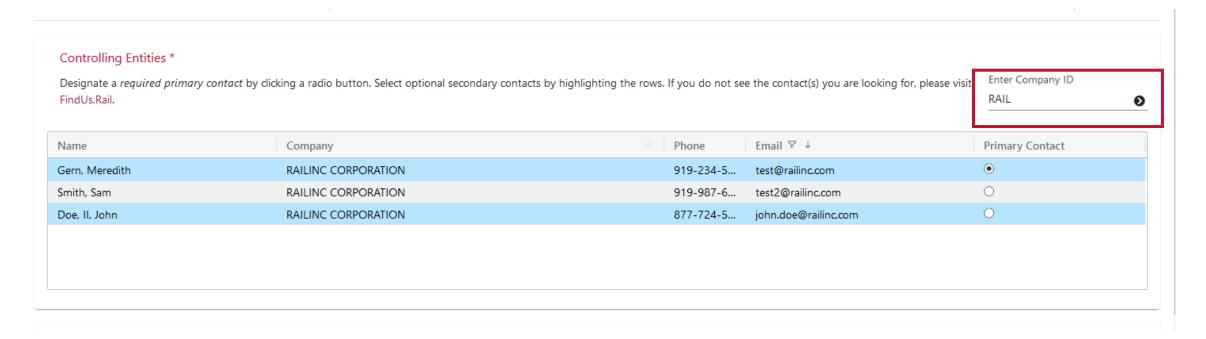
- Next you will select the primary controlling entity using the radio button.
 - You may select any number of optional secondary contacts.







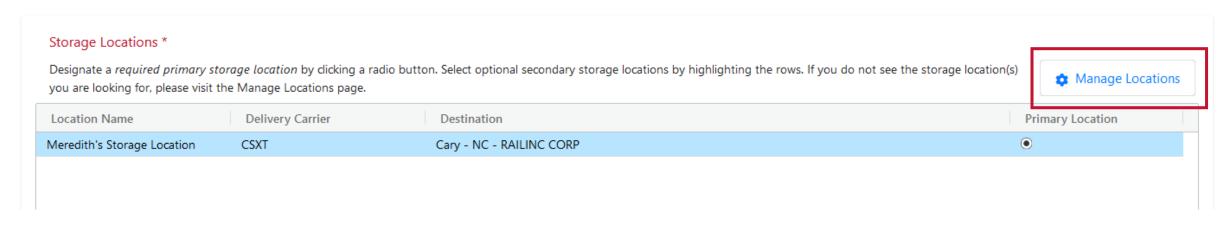
- You can add additional controlling entities using the search tool.
 - •Enter the company ID in the search box then click the black arrow additional contacts will automatically be populated.







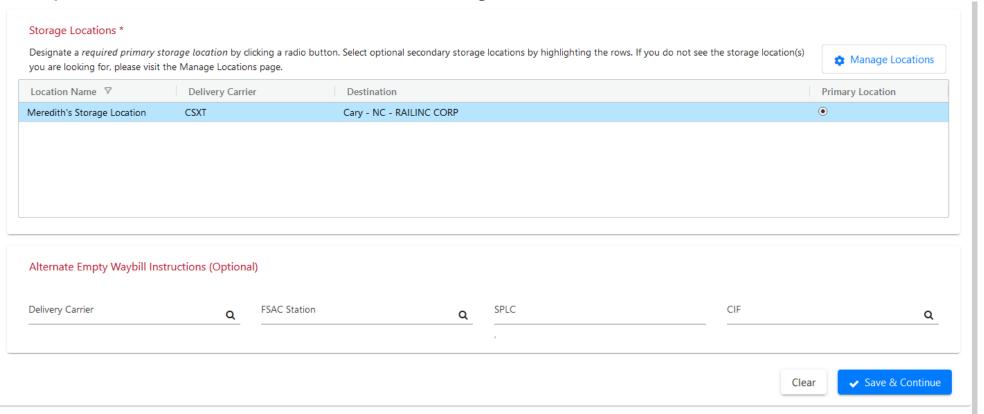
- Select your primary storage location.
 - The list is populated by the mark.
 - You can appoint secondary locations by highlighting the row.
 - You can add or update locations using the manage locations button.







- Alternate empty waybill instructions can be added but are optional to create your fleet.
 - It requires the same information as storage locations.

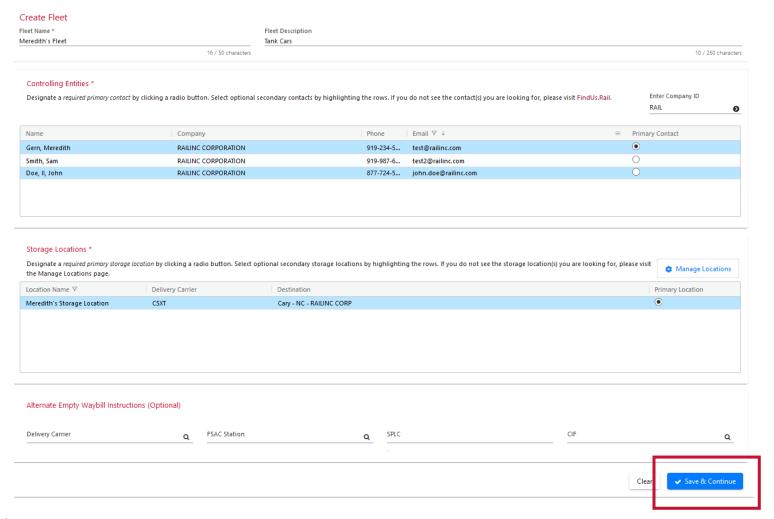




Create Fleet



Click 'Save and Continue'

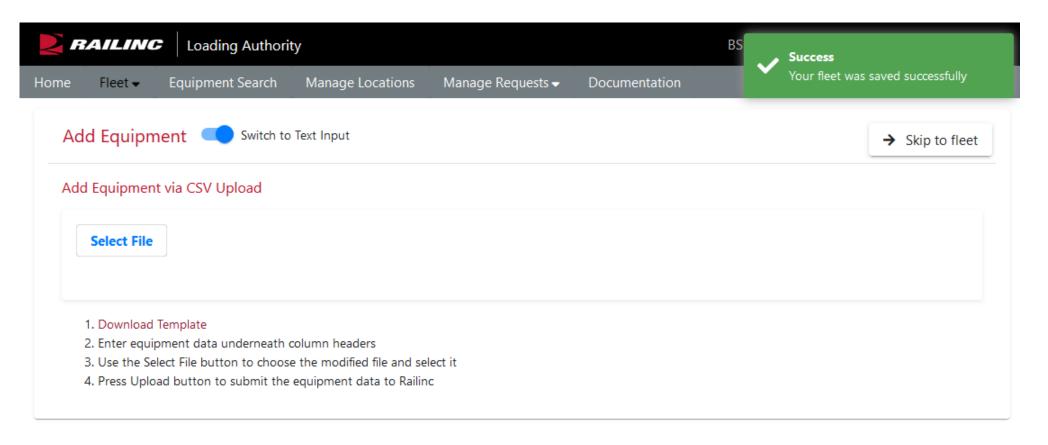




Create Fleet



Save and Continue.

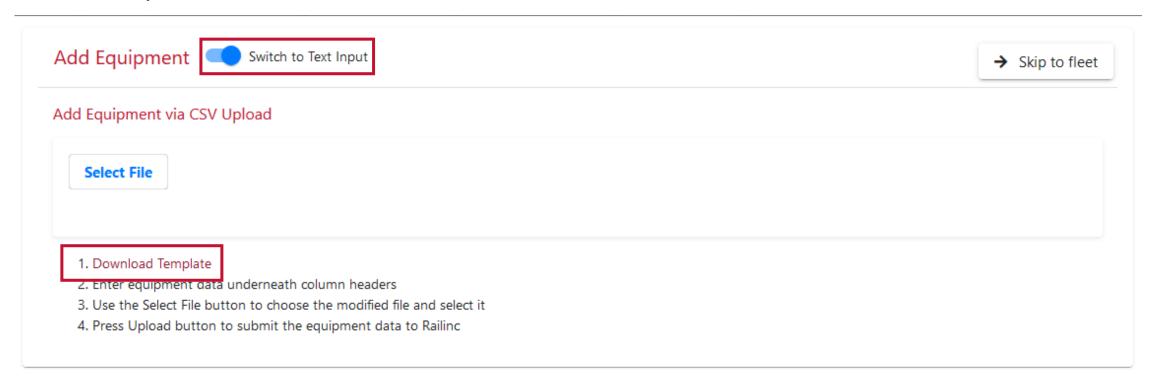




Create Fleet - Add Equipment



- Equipment upload
 - Via CSV or text input
 - Switch between CSV/text using the toggle button
 - •Add up to 50,000 cars at one time

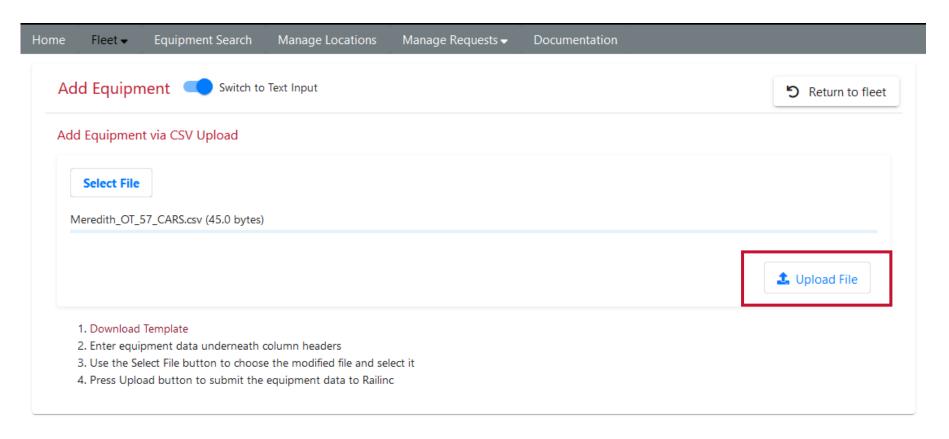




Create Fleet - Add Equipment



Equipment upload

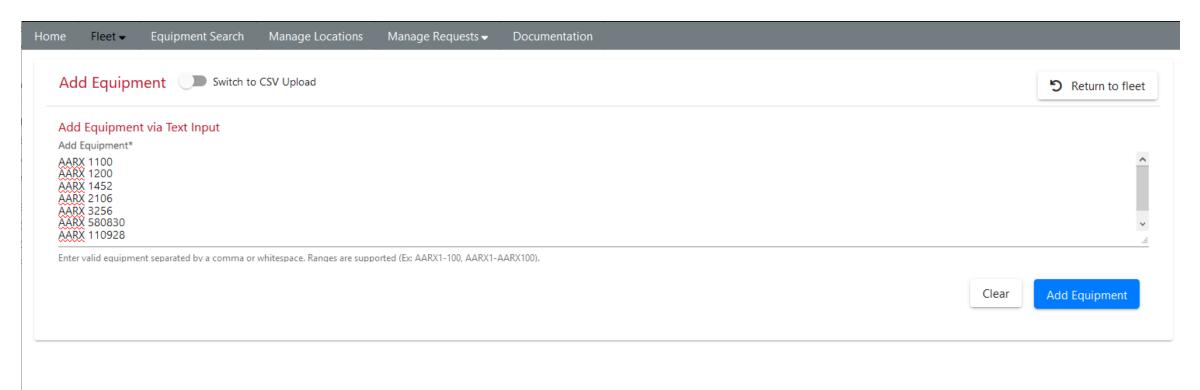




Create Fleet - Add Equipment



- Equipment upload
 - •Using text input, cars can be added individually or as a range.

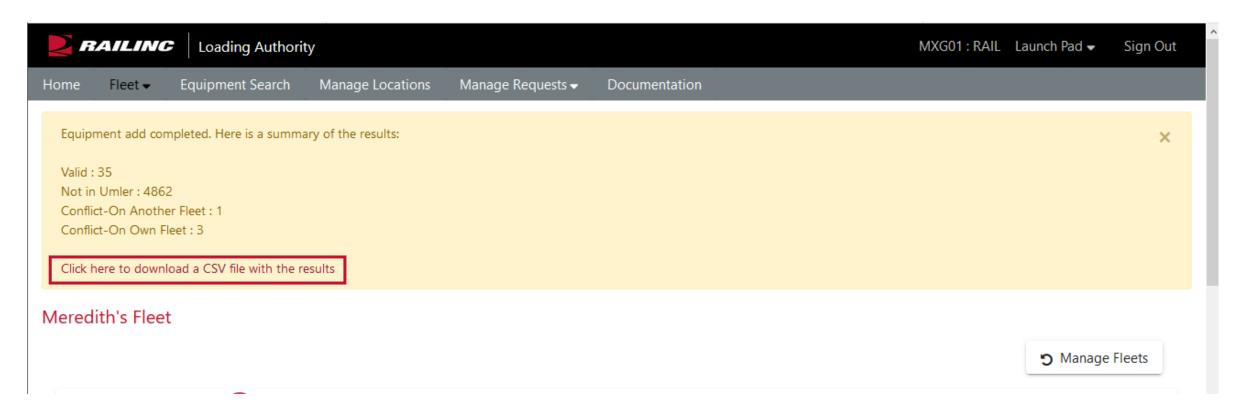




Create Fleet – Manage Equipment



After clicking 'Add Equipment,' you will be provided with an equipment summary.

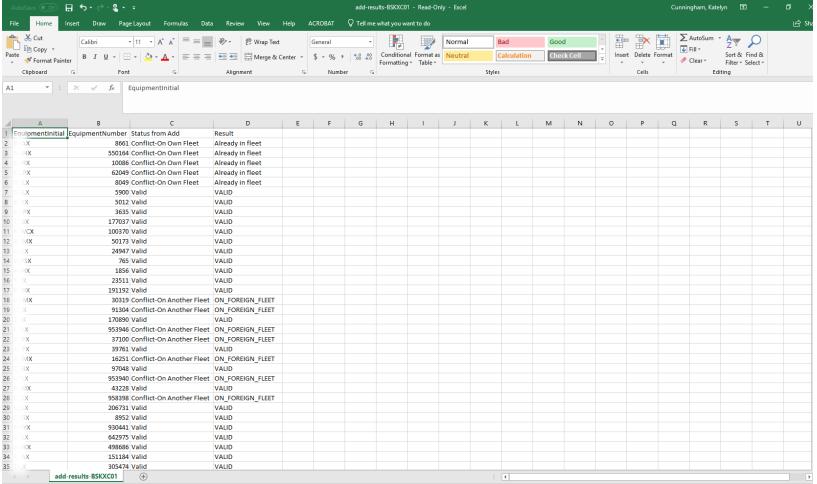




Create Fleet – Manage Equipment



•The CSV download will provide you with information for each car.

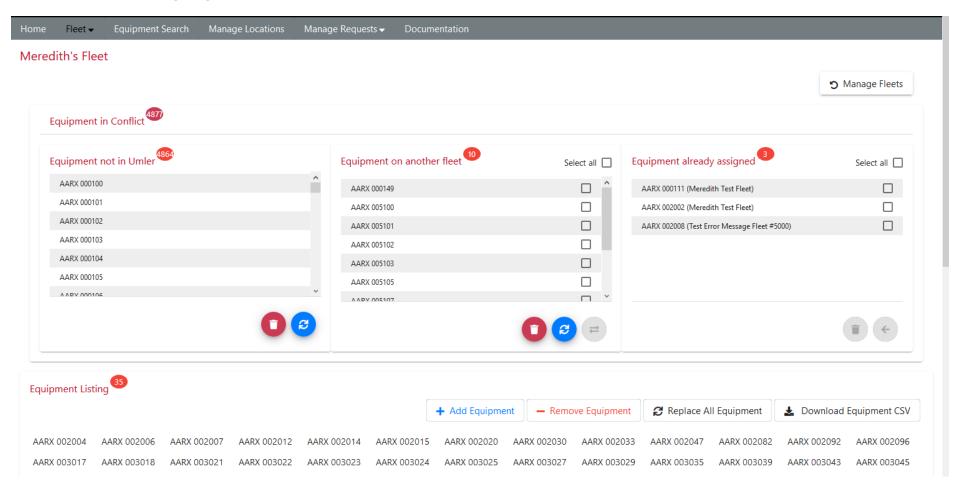




Manage Equipment Conflicts



There are three equipment in conflict buckets.

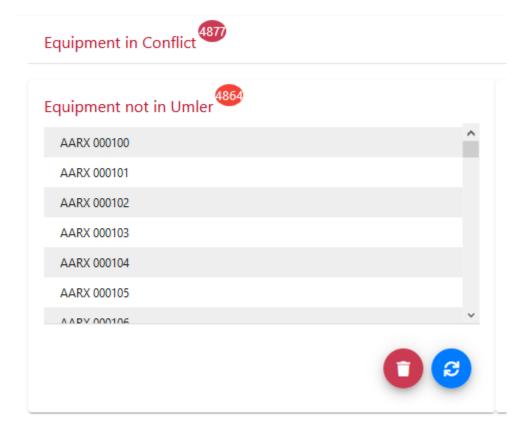




Manage Equipment Conflicts - Not in Umler®



- •Equipment not in Umler®
 - The car is in deleted or inactive status.
 - Once a car is added to Umler, you can use the blue refresh button to add the car to your fleet.
 - If a car no longer needs to be added to this fleet, you can use the red remove button to remove the car from your conflict bucket.

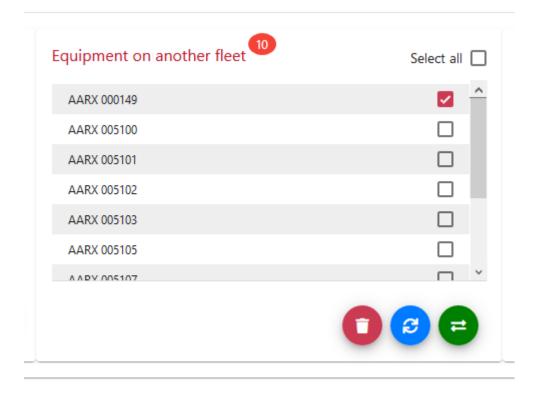




Manage Equipment Conflicts – on Another Company's Fleet



- Equipment on another company's fleet
 - Use the red remove button if a car no longer needs to be added to this fleet.
 - Use the blue refresh button to verify equipment is still on another controlling entity's fleet.
 - •Use the green transfer button to initiate a transfer request.

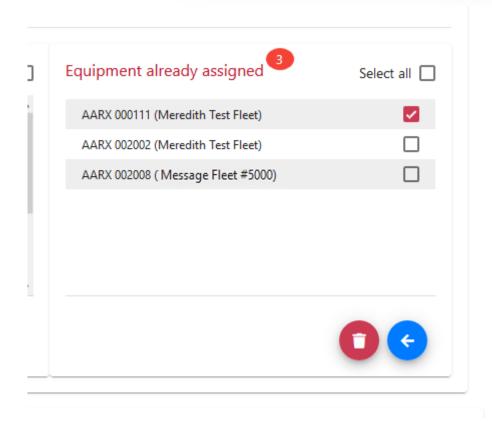




Manage Equipment Conflicts – Already Assigned



- Equipment already assigned to one of your fleets:
 - Transfer equipment to the current fleet
 - Remove equipment from conflict on current fleet

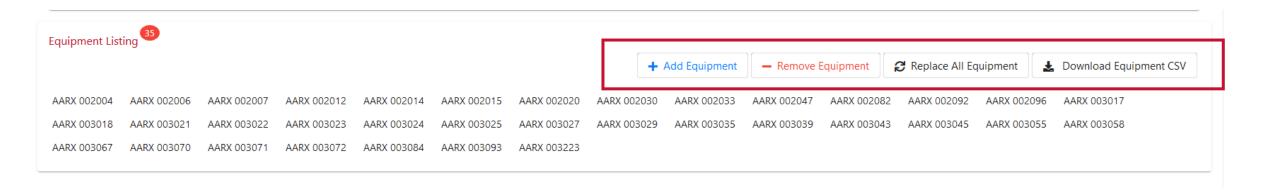




Manage Equipment – Equipment Listing



- The equipment listing bucket contains the cars registered to your fleet. There are four actions that can be taken with this bucket:
 - Add additional equipment
 - Remove equipment
 - Replace all equipment
 - Download equipment CSV

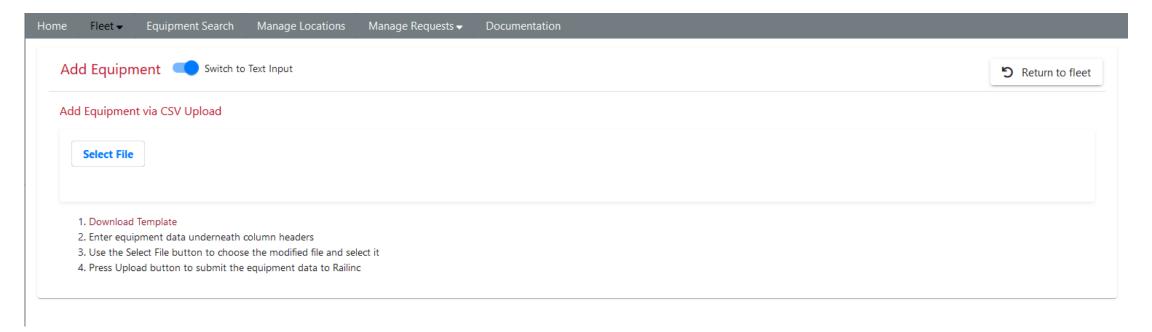




Manage Equipment – Add Equipment



 You can add equipment to an existing fleet the same way you would a newly created fleet.

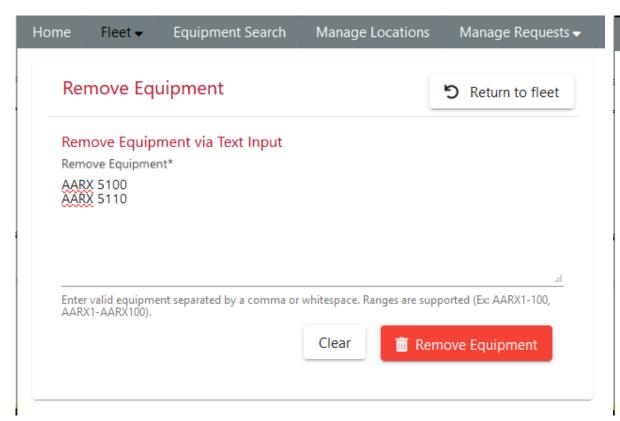


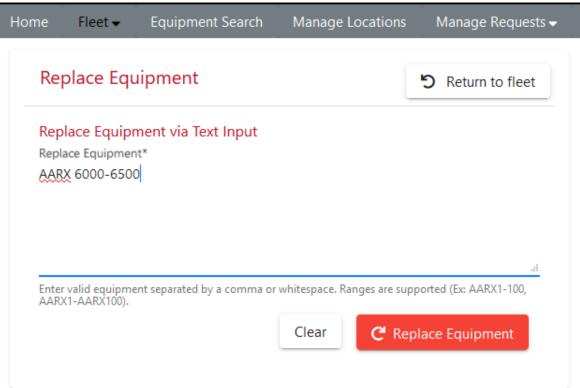


Manage Equipment – Remove/Replace Equipment



•The Remove Equipment and Replace Equipment pages both require text input.



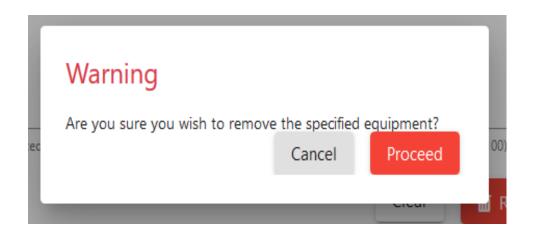


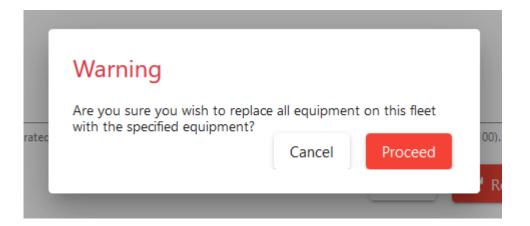


Manage Equipment – Remove/Replace Equipment



- Users will receive a warning confirmation when removing or replacing equipment.
 - Please note that the replace equipment function replaces <u>ALL</u> equipment on your fleet.



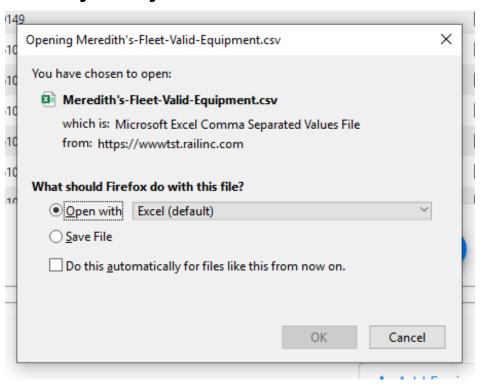


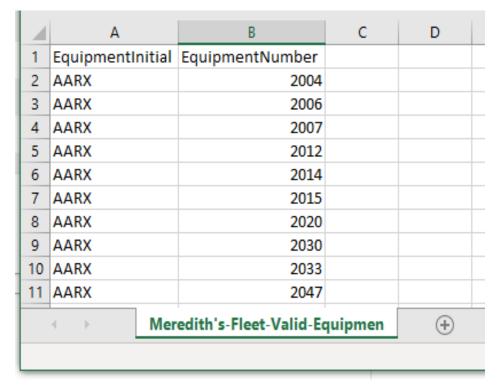


Manage Equipment – Download Equipment CSV



•The download equipment CSV allows you to download a list of all equipment currently on your fleet.







Agenda



- Permissions
- Accessing the Application
- Searching for Equipment
 - Railroad User View
 - Equipment Owner View
 - Fleet Manager View
- Create Fleet
 - Controlling Entities
 - Manage Storage Locations
 - Add Equipment
- Fleet Management
 - Fleet Suspension Process
- Equipment Transfers
- Disposition Requests
- Helpful Links



Association of American Railroads
Safety and Operations • Business Services
425 Third Street, SW • Washington, D.C. 20024

CIRCULAR No. OT-57

IN EFFECT AS OF FEBRUARY 1, 2020

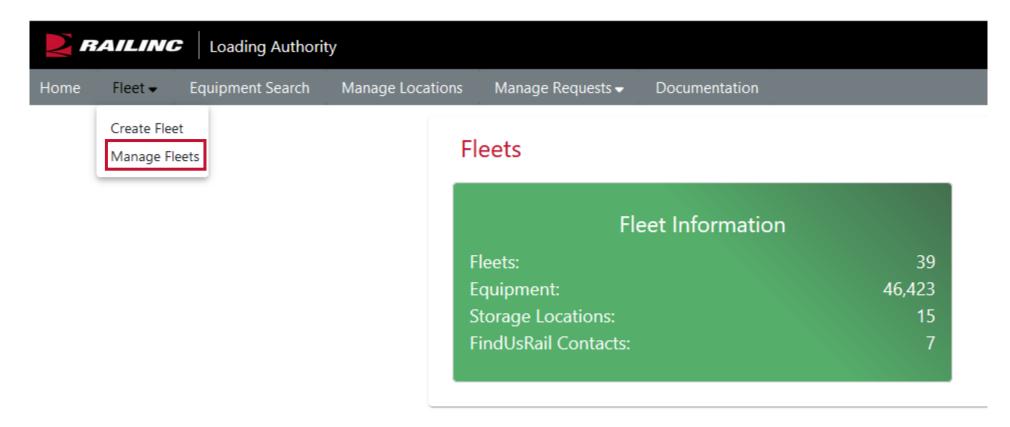
RULES GOVERNING REGISTRATION OF PRIVATE CARS AND CONTROLLING ENTITY CONTACT & STORAGE INFORMATION



Fleet Management



•Future updates and edits can be made to a fleet via the Manage Fleets page.

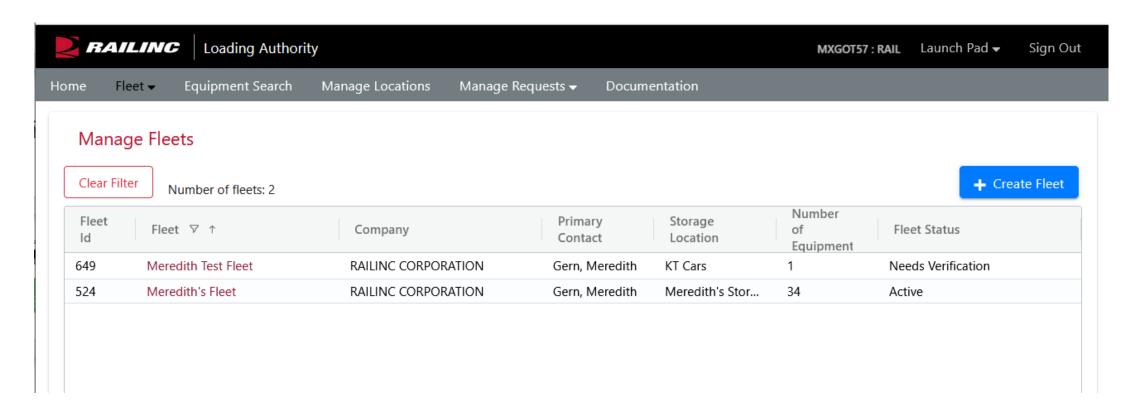




Fleet Management



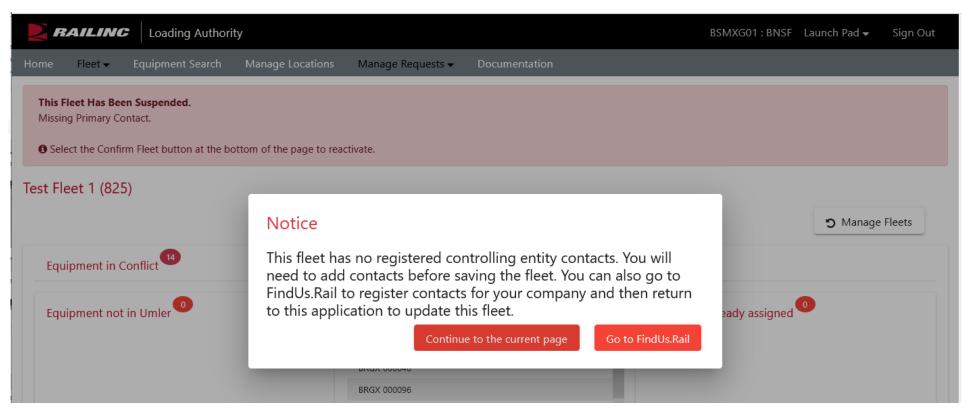
•Use the hyperlinked fleet name to select a fleet.







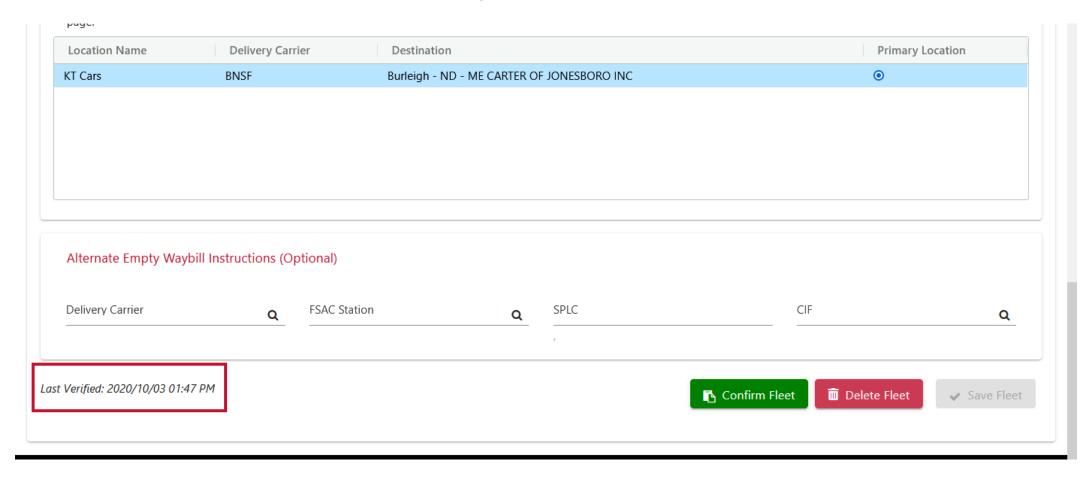
•When a primary controlling entity is removed from FindUs.Rail fleet managers will receive an email notification. If not updated, the fleet will be placed in suspended status.







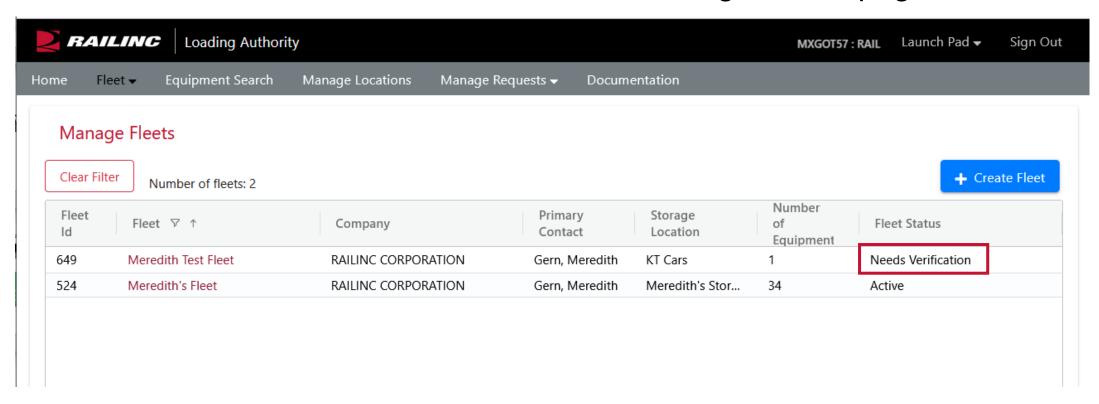
Annual Fleet Verification is now required







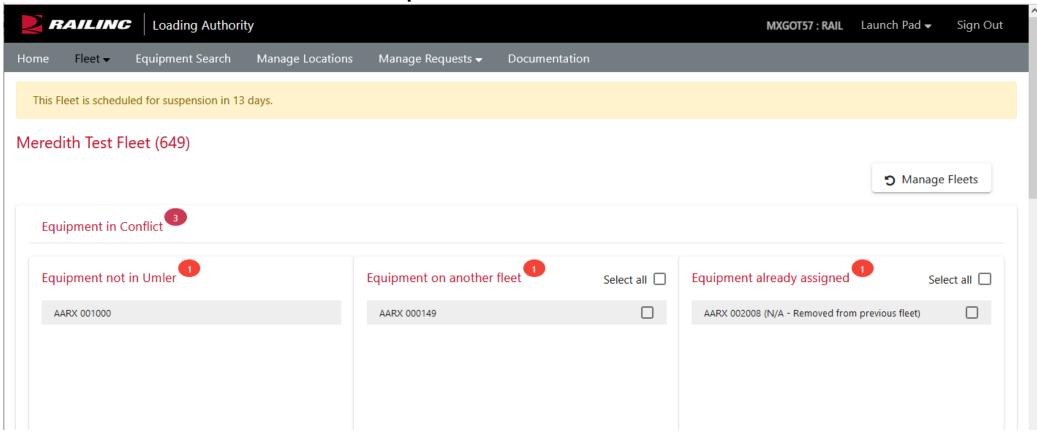
•Fleet Verification status can be found on the Manage Fleets page.







•Fleets in need of verification will display a notice showing how many days remain before the fleet is suspended.

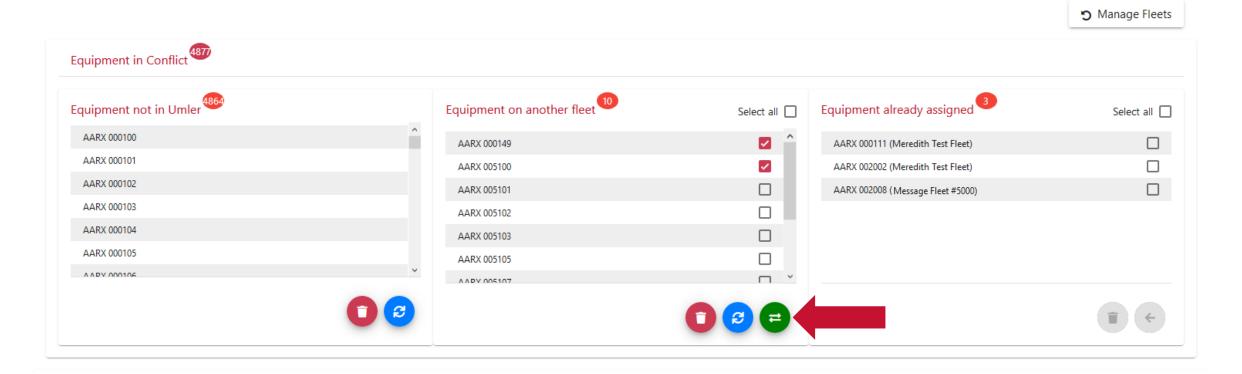




Equipment Transfers – Request



•You can request an equipment transfer by using the green transfer button located in the Equipment on another fleet bucket.

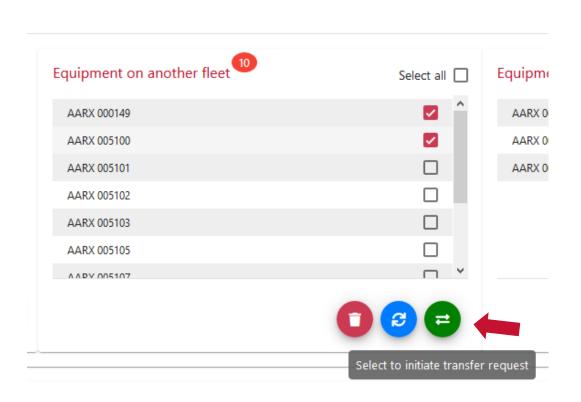


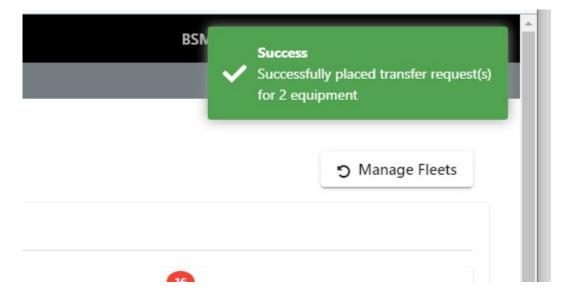


Equipment Transfers – Request



•You will receive a 'Success' confirmation message.

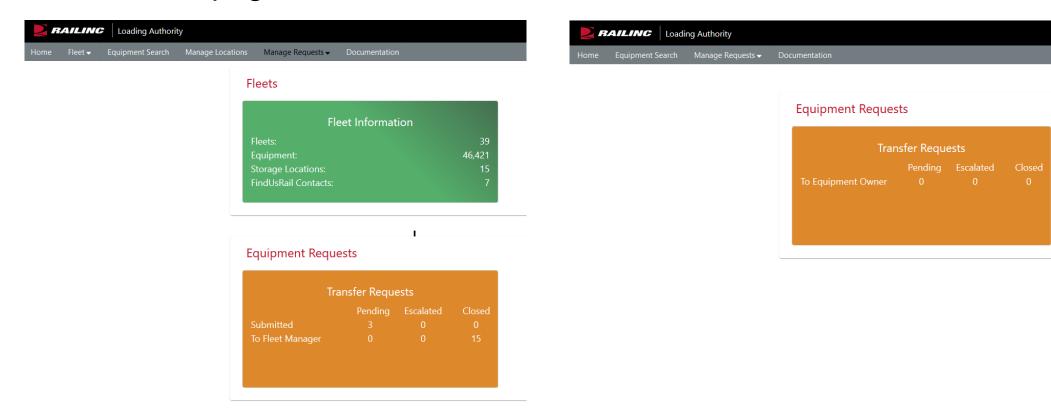








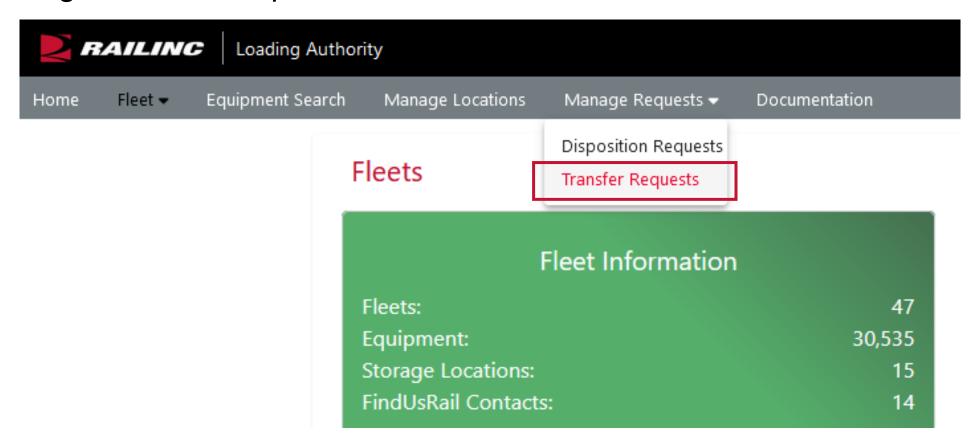
•Fleet managers and equipment owners can see a summary of transfer requests on their home page.







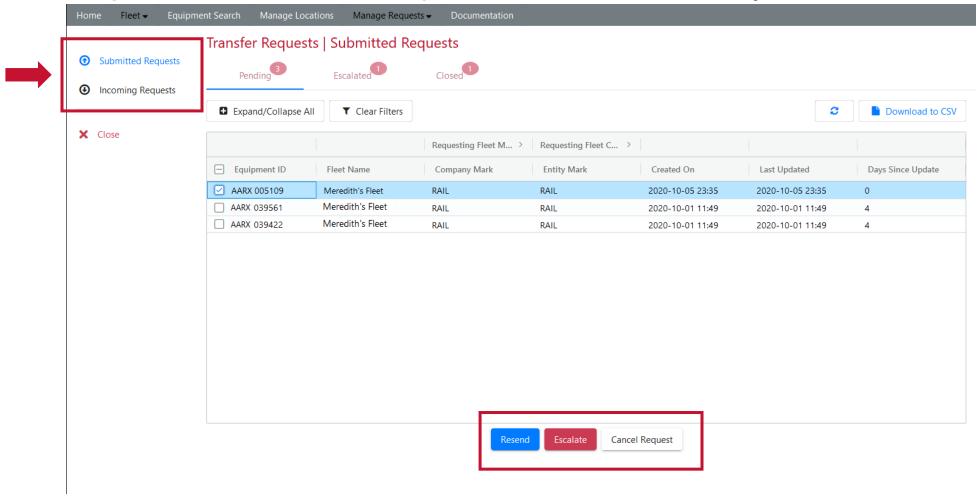
 Transfer request can be viewed via the manage requests tab dropdown menu by clicking 'Transfer Requests.'







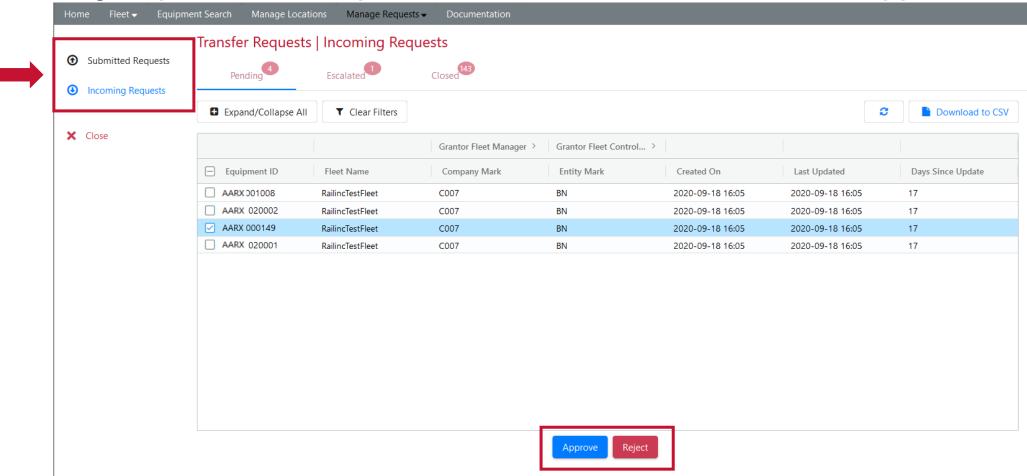
Submitted requests will show all requests submitted under your mark.







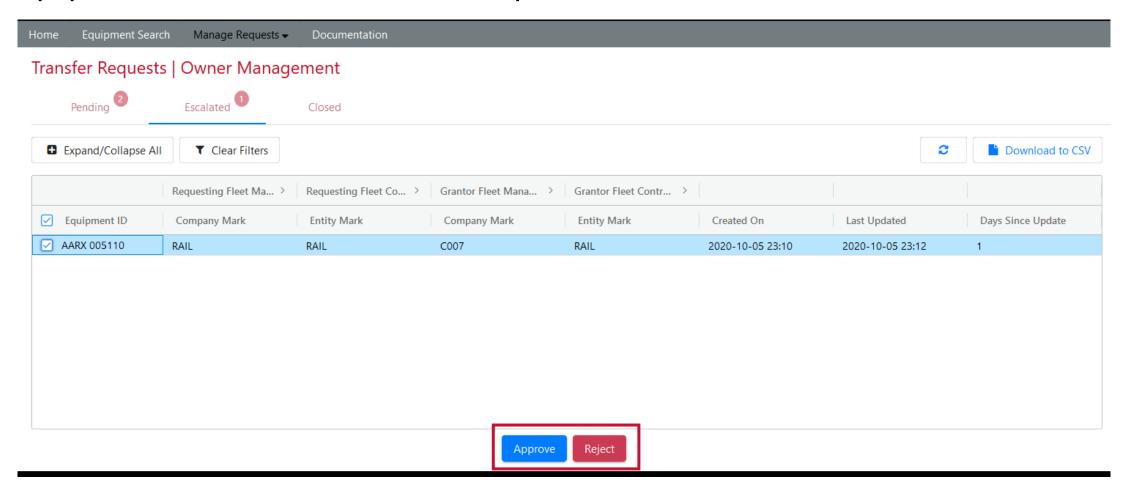
•Incoming request show requests that have been submitted for approval.







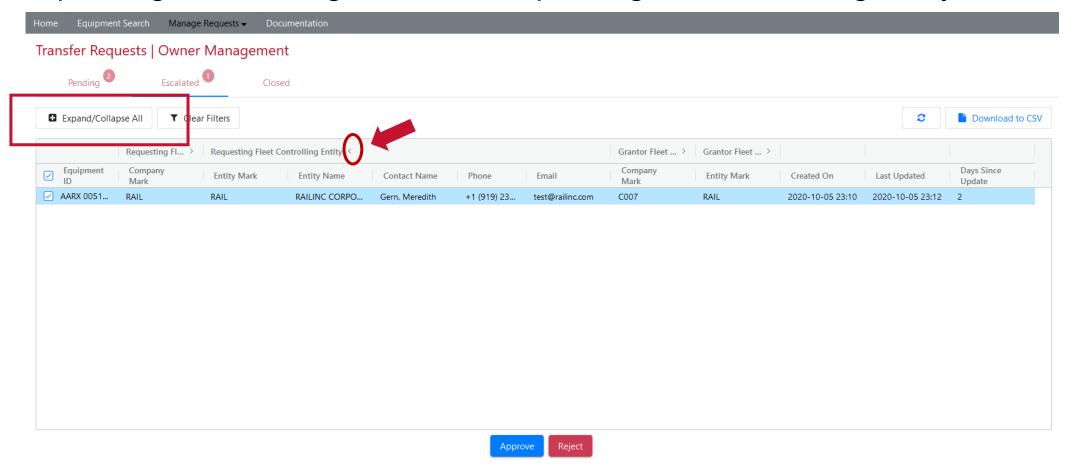
Equipment owners will review all requests in escalated status.







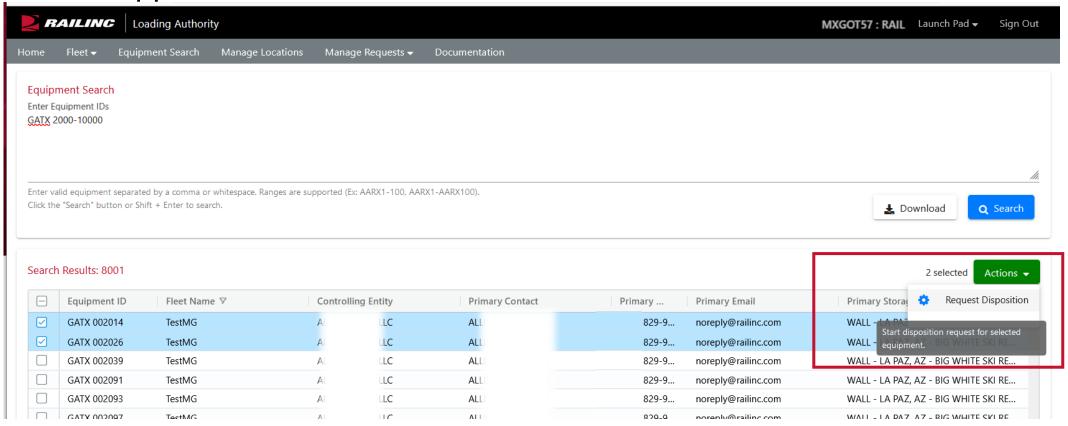
•The expanded view will show you more detailed contact information for the requesting fleet manager and the requesting fleet controlling entity.







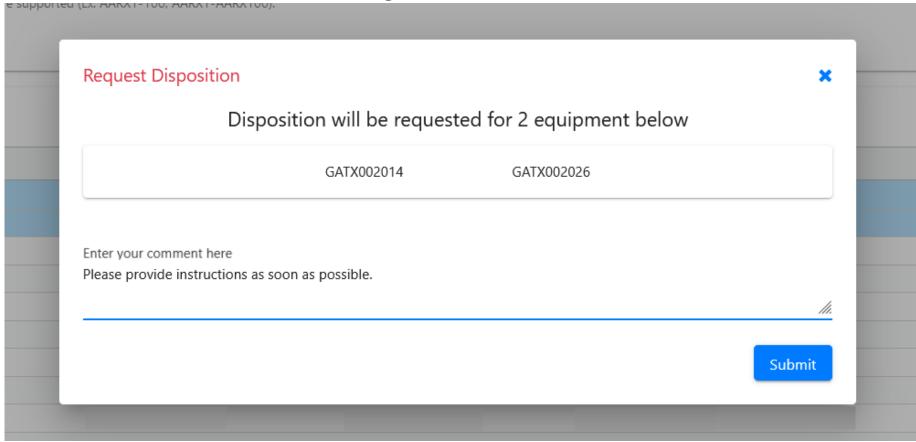
•Railroads can request Disposition Information for cars via the Loading Authority OT-57 Application.







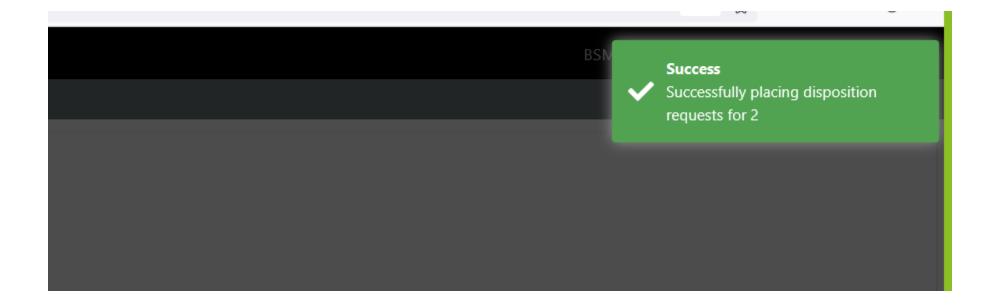
Add any additional information using the comments section.







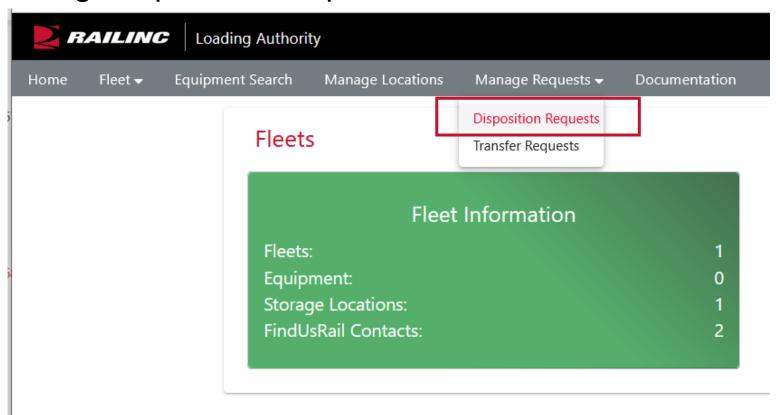
•A green success notice will be displayed once the request is submitted.







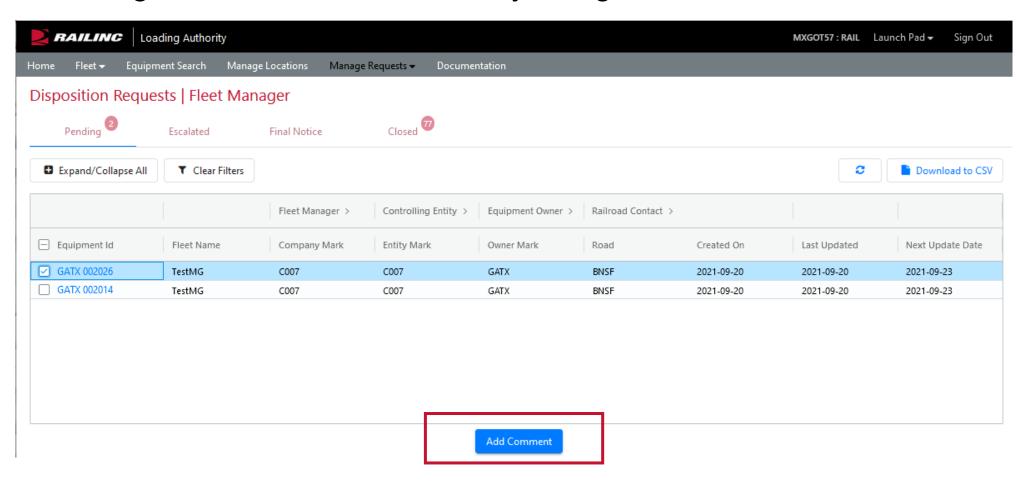
 Disposition Requests can be viewed via the manage requests tab dropdown menu by clicking 'Disposition Requests.'







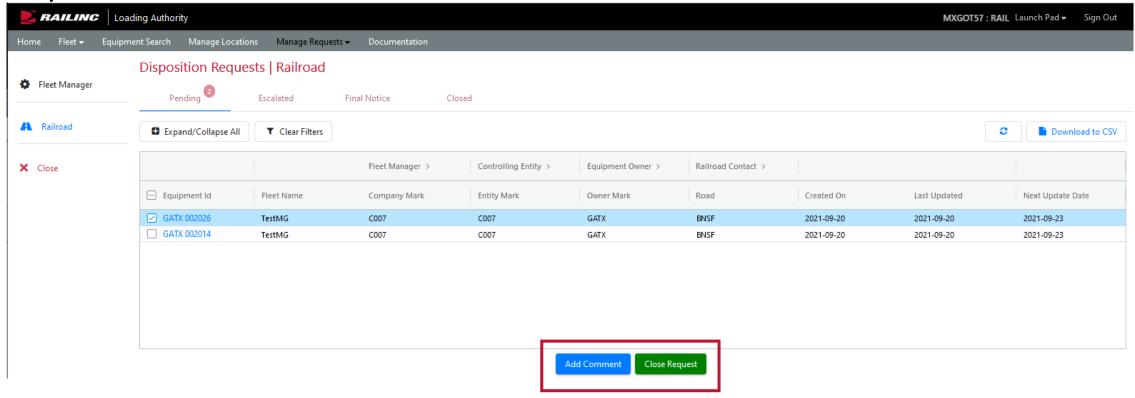
Fleet Managers can add a comment by using the blue Add Comment button.







 Railroad users have the option to add additional comments or close the request.





Useful Links



- •OT-57
- Documentation
- User Guide

Home > Products & Services > Loading Authority (OT 57)

•FAQ

Loading Authority (OT-57)

The Loading Authority OT-57 system provides a centralized, paperless process for registering private freight rail equipment and access to controlling entity (shipper, owner, or lessee) contact information and storage information. It facilitates the potential placement of private freight rail equipment at specified storage locations on a railroad.

Loading Authority OT-57 replaces Loading Authority OT-5, which was retired effective January 2, 2020.

For private rail equipment to be entered into loaded revenue service, private rail cars should be registered in the Loading Authority OT-57 system, as specified in the <u>Association of American Railroads' OT-57 Circular</u>, effective since 2020.

The controlling entity (railcar owner or lessee) or its representative is responsible for registering cars within the Loading Authority OT-57 system.

Related Support Documents

Loading Authority (OT-57) User Guide

Loading Authority (OT-57) FAQs

OT-57 Loading Authority Update Notification

OT-57 Loading Authority OT-5 Replacement Notification

OT-57 Loading Authority Controlling Entity Notification











